

# Degeneration of Period Matrices of Stable Curves

YU YANG

## Abstract

In the present paper, we study the extent to which linear combinations of period matrices arising from stable curves are degenerate (i.e., as bilinear forms). We give a criterion to determine whether a stable curve admits such a degenerate linear combination of period matrices. In particular, This criterion can be interpreted as a certain analogue of the Weight Monodromy Conjecture for non-degenerate elements of pro- $\ell$  log étale fundamental groups of certain log points associated to the log moduli stack  $\overline{\mathcal{M}}_g^{\log}$ .

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## Introduction

The anabelian geometry of hyperbolic curves concerns the problem of reconstructing hyperbolic curves from their fundamental groups. In order to understand these fundamental groups, many techniques of algebraic geometry are applied. On the other hand, in the case of stable curves over algebraically closed fields, the introduction of some ideas of a *combinatorial* nature allows one to prove some results in much greater generality under very weak hypotheses (cf. [Moc3], [Moc4], [HM1], [HM2]). By applying this point of view, we are able to discuss not only phenomena that arise scheme-theoretically but also phenomena that arise purely group-theoretically. Before we explain the main question that motivated the theory developed in the present paper, let us recall some basic facts concerning period matrices.

Let  $X$  be a stable curve of genus  $g$  over an algebraically closed field  $k$  and  $\Gamma_X$  the dual graph of  $X$ . Then one has a natural exact sequence of free  $\mathbb{Z}_\ell$ -modules

(cf. [Moc3] Definition 1.1 (ii) and Remark 1.1.3.)

$$0 \longrightarrow M_X^{\text{ver}} \longrightarrow M_X \longrightarrow M_X^{\text{top}} \longrightarrow 0,$$

where  $M_X := \pi_1^{\ell\text{-adm}}(X)^{\text{ab}}$ ,  $M_X^{\text{top}} := \pi_1^{\ell}(\Gamma_X)^{\text{ab}}$ ,  $M_X^{\text{ver}} := \text{Im}(\bigoplus_{v \in v(\Gamma_X)} \pi_1^{\ell}(X_v - \text{Node}(X))^{\text{ab}} \longrightarrow M_X)$  (cf. Notations and Conventions of the present paper), where  $\text{Node}(X)$  denotes the set of nodes of  $X$ . The stable curve  $X$  determines a morphism from  $s := \text{Spec } k$  to the moduli stack  $\overline{\mathcal{M}}_g$ , and the pull-back log structure of the natural log structure on  $\overline{\mathcal{M}}_g$  determines a natural log structure on  $\text{Spec } k$ ; denote the resulting log scheme by  $s^{\text{log}}$  which admits a chart  $(\text{Spec } k, \bigoplus_{e \in e(\Gamma_X)} \mathbb{N})$ . The pro- $\ell$  log étale fundamental group  $\pi_1^{\ell}(s^{\text{log}})$  is naturally isomorphic to  $\bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_{\ell}(1)$ . Therefore, we obtain a natural action of  $\bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_{\ell}(1)$  on the extension  $0 \longrightarrow M_X^{\text{ver}} \longrightarrow M_X \longrightarrow M_X^{\text{top}} \longrightarrow 0$ . This extension determines an extension class  $[M_X]$ , which may be regarded as a homomorphism, which we refer to as the *pro- $\ell$  period matrix morphism* of  $X$  (cf. Proposition 2.3, Definition 2.4, and the surrounding discussion)

$$f_X : \pi_1^{\ell}(s^{\text{log}}) \cong \bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_{\ell}(1) \longrightarrow \text{Hom}(M_X^{\text{top}} \otimes M_X^{\text{top}}, \mathbb{Z}_{\ell}(1)).$$

For each element  $a \in \bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_{\ell}(1)$ , we refer to  $f_X(a)$  as the *pro- $\ell$  period matrix* associated to  $a$ .

If  $a = (a_e)_e \in \bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_{\ell}(1)_e$  is a *positive definite* element (cf. Definition 2.5), then the subgroup generated by  $a$  can be regarded as the image of the pro- $\ell$  completion of the inertia group of a  $p$ -adic local field. Thus, by applying Faltings-Chai's theory (or the Weight Monodromy Conjecture for curves), we know that the pro- $\ell$  period matrix  $f_X(a)$  is positive definite, hence also *non-degenerate*. This non-degeneracy property of pro- $\ell$  period matrices is the most non-trivial part in S. Mochizuki's proof of the combinatorial version of the Grothendieck conjecture (=ComGC) for semi-graphs of anabelioids in the case of outer representations of IPSC-type (cf. [Moc3] Corollary 2.8). More precisely, Mochizuki proved that the pro- $\ell$  period matrix associated to a positive definite element of any finite admissible covering  $X' \longrightarrow X$  of  $X$  is non-degenerate. Moreover, Mochizuki gave a criterion to determine whether or not an isomorphism between fundamental groups of semi-graphs of anabelioids that is compatible with the respective outer Galois actions by inertia groups is *graphic* (i.e., the isomorphism preserves vertical subgroups and edge-like subgroups). By considering the pro- $\ell$  log étale fundamental groups which arise from a *cusp* and applying the ComGC in the IPSC-type case, Mochizuki gave an algebraic alternative proof of the injectivity theorem in the affine case due to M. Matsumoto (cf. [Moc4]). But if one wants to extend Matsumoto's theorem to the projective case, it is natural to attempt to prove the ComGC in the case of outer representations of NN-type case (i.e., the out Galois action arising from a non-degenerate (= all the coordinates of the element are nonzero)  $a = (a_e)_e \in \bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_{\ell}(1)$  (cf. [HM1] Definition 2.4 (iii))). On the other hand, if one attempts to imitate the proof of the ComGC in the IPSC-type case, one has to consider whether or

not the pro- $\ell$  period matrix arising from a *node* is non-degenerate. Y. Hoshi and S. Mochizuki proved a version of the ComGC in the NN-type case under certain assumptions, and by applying this version of the ComGC, they successfully extended the injectivity theorem to the projective case (cf. [HM1]).

More generally, in the theory of combinatorial anabelian geometry, in order to extend results (e.g., the ComGC) in the IPSC-type case to the NN-type case, one has to consider whether or not the pro- $\ell$  period matrix arising from a *non-degenerate* element of  $\pi_1^\ell(s^{\log}) \cong \bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_\ell(1)$  is degenerate. It is difficult to determine in general whether or not the pro- $\ell$  period matrix associated to a given *non-degenerate* element is *degenerate*. But at least we can ask which stable curves admit a *non-degenerate* element that gives rise to a *degenerate* pro- $\ell$  period matrix. This question may be formulated as follows:

**Question 0.1.** *Does there exist a criterion to determine whether or not the stable curve  $X$  admits an element  $a = (a_e)_e \in \bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_\ell(1)$  such that  $a_e \neq 0$  for each  $e$  and, moreover, the pro- $\ell$  period matrix  $f_X(a)$  is degenerate?*

In present paper, our main theorem is a criterion as follows (cf. Theorem 2.9):

**Theorem 0.2.** *Let  $X$  be a stable curve over an algebraically closed field  $k$ ,  $\Gamma_X$  the dual graph of  $X$ . Then  $X$  is a pro- $\ell$  period matrix degenerate curve (cf. Definition 2.5) if and only if the maximal untangled subgraph  $\Gamma_X^\circ$  (cf. Definition 2.7) of  $\Gamma_X$  is not a tree (i.e.,  $r(\Gamma_X^\circ) := \text{rank}(\mathbb{H}^1(\Gamma_X^\circ, \mathbb{Z})) \neq 0$ ).*

The Weight Monodromy Conjecture for curves holds if and only if the period matrix associated to an element of the inertia group is non-degenerate. Thus, our main theorem may also be interpreted as asserting that a certain analogue of the Weight Monodromy Conjecture for non-degenerate elements of  $\pi_1^\ell(s^{\log})$  (cf. Corollary 2.11).

In Section 1, we recall some basic facts concerning log structures and log étale fundamental groups of stable curves.

In Section 2, we discuss the topic of degeneracy of pro- $\ell$  period matrices of stable curves and prove Theorem 0.2. Finally, we explain the relationship between Theorem 0.2 and the Weight Monodromy Conjecture.

#### ACKNOWLEDGEMENTS

I would like to thank my advisor Professor Shinichi Mochizuki for suggesting the topic of the present paper and carefully reading preliminary versions of the present paper. Also, I would like to thank Yuichiro Hoshi for many helpful discussions. I would like to express my deepest gratitude to  $\mathcal{S}$ , for giving me constant support, warm encouragements during the most painful period, 2013. Without her, this paper could not be written.

## Notations and Conventions

*Numbers:*

If  $k$  is a field, we shall write  $(\text{char}(k), n) = 1$  if  $\text{char}(k)$  and  $n$  are relatively prime or  $\text{char}(k) = 0$ . The notation  $\mathbb{Z}$  will be used to denote the ring of rational integers. We always use the notation  $\ell$  to denote a prime number such that  $\ell \neq \text{char}(k)$ . The notations  $\mathbb{Z}_\ell$  and  $\mathbb{Q}_\ell$  denote the  $\ell$ -adic completions of  $\mathbb{Z}$  and  $\mathbb{Q}$ , respectively.

*Curves and their moduli stacks:*

By a curve over a field, we mean a finite type, separated, connected, one dimensional reduced scheme over a field.

An  $r$ -pointed stable curve  $(X, D_X)$  of type  $(g, r)$  over a scheme  $S$  consists of a flat, proper morphism  $X \rightarrow S$ , together with a closed subscheme  $D_X \subseteq X$  such that for each geometric point  $\bar{s}$  of  $S$ :

(i) The geometric fiber  $X_{\bar{s}}$  is a reduced and connected curve of genus  $g$  with at most ordinary double points (i.e., nodes).

(ii)  $X_{\bar{s}}$  is smooth at the points of  $D_X$ .

(iii) The composite morphism  $D_X \subseteq X \rightarrow S$  is finite étale of degree  $r$ .

(iv) Let  $E$  be an irreducible component of  $X_{\bar{s}}$  of genus  $g_E$ . Then the sum of the degree of the restriction of  $D_X$  to  $E$  and the number of points where  $E$  meets the closure of the complement of  $E$  in  $X_{\bar{s}}$  is  $\geq 3 - 2g_E$ .

(v)  $\dim(H^1(X_{\bar{s}}, \mathcal{O}_{X_{\bar{s}}})) = g$ .

In this situation, one verifies easily that  $2g - 2 + r$  is  $\geq 1$ .

We shall say that an  $S$ -scheme  $X$  is a *stable curve* of genus  $g$  over  $S$  if  $(X, \emptyset)$  is a 0-pointed stable curve of genus  $g$  over  $S$ .

We shall say that a pointed stable curve  $(X, D_X)$  over a scheme  $S$  is *smooth* if the morphism of schemes  $X \rightarrow S$  is smooth.

We denote  $(X, D_X)$  a pointed stable curve over  $S$  with divisor of marked points  $D_X$  and underlying scheme  $X$ . For simplicity we also use the notation  $X$  to denote the pointed stable curve  $(X, D_X)$  when there is no confusion.

Let  $\overline{\mathcal{M}}_{g,r}$  be the moduli stack of stable curves of type  $(g, r)$  over  $\text{Spec } \mathbb{Z}$  (where we regard the marked points as *ordered*),  $\mathcal{M}_{g,r}$  the open substack of  $\overline{\mathcal{M}}_{g,r}$  parametrizing pointed smooth curves. Then  $\overline{\mathcal{M}}_{g,r}^{\log}$  is the log moduli stack obtained by equipping  $\overline{\mathcal{M}}_g$  with the natural log structure associated to the divisor with normal crossings  $\overline{\mathcal{M}}_{g,r} \setminus \mathcal{M}_{g,r} \subset \overline{\mathcal{M}}_{g,r}$  relative to  $\text{Spec } \mathbb{Z}$ . Let  $\overline{\mathcal{X}}_{g,r} \rightarrow \overline{\mathcal{M}}_{g,r}$  be the universal stable curve over  $\overline{\mathcal{M}}_g$ , and  $\mathcal{D}_g \subset \overline{\mathcal{X}}_{g,r}$  the divisor given by the inverse image in  $\overline{\mathcal{X}}_{g,r}$  of the divisor  $\overline{\mathcal{M}}_{g,r} \setminus \mathcal{M}_{g,r} \subset \overline{\mathcal{M}}_{g,r}$ .  $\mathcal{D}_{g,r}$  determines a log structure on  $\overline{\mathcal{X}}_{g,r}$ ; denote the resulting log stack by  $\overline{\mathcal{X}}_{g,r}^{\log}$ . Thus, we obtain a morphism of log stacks  $\overline{\mathcal{X}}_{g,r}^{\log} \rightarrow \overline{\mathcal{M}}_{g,r}^{\log}$ . In particular, if  $r = 0$  (i.e., stable curve), we use notation  $\overline{\mathcal{M}}_g$  (resp.  $\overline{\mathcal{M}}_g^{\log}$ ,  $\overline{\mathcal{X}}_g$ ,  $\overline{\mathcal{X}}_g^{\log}$ ) to denote the stack  $\overline{\mathcal{M}}_{g,0}$  (resp.  $\overline{\mathcal{M}}_{g,0}^{\log}$ ,  $\overline{\mathcal{X}}_{g,0}$ ,  $\overline{\mathcal{X}}_{g,0}^{\log}$ ).

For more details on stable curves, pointed stable curves and their moduli stacks, see [DM], [Knu].

*Galois categories and their fundamental groups:*

We denote the categories of finite étale, finite Kummer log étale, finite tame, and finite admissible coverings of “ $(-)$ ” by  $\text{Cov}(-)$ ,  $\text{Cov}((-)^{\log})$ ,  $\text{Cov}_{\text{tame}}(-)$ ,  $\text{Cov}_{\text{adm}}(-)$ , respectively, and the categories of finite  $\ell$ -étale, finite  $\ell$ -Kummer log étale, finite  $\ell$ -tame, and finite  $\ell$ -admissible coverings of “ $(-)$ ” by  $\text{Cov}^{\ell}(-)$ ,  $\text{Cov}^{\ell}((-)^{\log})$ ,  $\text{Cov}_{\text{tame}}^{\ell}(-)$ ,  $\text{Cov}_{\text{adm}}^{\ell}(-)$  respectively.

The notations  $\pi_1(-)$ ,  $\pi_1((-)^{\log})$ ,  $\pi_1^{\text{tame}}(-)$ ,  $\pi_1^{\text{adm}}(-)$  will be used to denote the étale, Kummer log étale, tame, and admissible fundamental groups of “ $(-)$ ”, respectively; the notations  $\pi_1^{\ell}(-)$ ,  $\pi_1^{\ell}((-)^{\log})$ ,  $\pi_1^{\ell\text{-tame}}(-)$ ,  $\pi_1^{\ell\text{-adm}}(-)$  will be used to denote the pro- $\ell$  étale, pro- $\ell$  Kummer log étale, pro- $\ell$  tame, and pro- $\ell$  admissible fundamental groups, respectively; the notation  $(-)^{\text{ab}}$  denotes the abelianization of the group  $(-)$ .

For more details on Kummer log étale coverings, admissible coverings, log admissible coverings and their fundamental groups for (pointed) stable curves, see [Ill], [Moc2].

## 1 Review of log étale fundamental groups of stable curves

In this section, we recall some basic facts concerning log structures and log étale fundamental groups of stable curves.

### 1.1 Log structures on stable curves

In this subsection, we will recall some basic facts concerning log structures of stable curves; for generalities on log schemes, see [Kat].

Let  $X$  be a generically smooth stable curve over a complete DVR  $(R, \mathfrak{m}_R)$  with algebraically closed residue field  $k := R/\mathfrak{m}_R$ ,  $\pi$  a uniformizer of  $\mathfrak{m}_R$ . Write  $K$  for the quotient field of  $R$  and  $X_s$  (resp.  $X_{\eta}$ ) for the special fiber (resp. generic fiber) of  $X$  over  $R$ . Thus, the stable curve  $X \rightarrow \text{Spec } R$  induces a morphism  $\phi_X : \text{Spec } R \rightarrow \overline{\mathcal{M}}_g$ . The completion of the local ring of  $\overline{\mathcal{M}}_g$  at the point  $\phi_{X_s} : s := \text{Spec } k \rightarrow \overline{\mathcal{M}}_g$  is isomorphic to  $\mathcal{O}[[t_1, \dots, t_{3g-3}]]$ , where we write  $\mathcal{O}$  for  $k$  (resp. the ring of Witt vectors with coefficients in  $k$ ) if  $\text{char}(k) = 0$  (resp. if  $\text{char}(k) = p > 0$ ), and the  $t_1, \dots, t_{3g-3}$  are indeterminates.

If we denote the number of nodes of  $X_s$  by  $m$  and assign labels  $i = 1, \dots, m$  to each of the nodes, then the completion of the local ring of  $X_s$  at the node labeled  $i$  is isomorphic to  $R[[x_i, y_i]]/(x_i y_i - \pi^{n_i})$ , and the indeterminate  $t_i$  may be chosen so as to correspond to the deformations of the node of  $X_s$  labeled  $i$ . Then the log structure on  $\text{Spec } \mathcal{O}[[t_1, \dots, t_m, t_{m+1}, \dots, t_{3g-3}]]$  induced by the log structure of  $\overline{\mathcal{M}}_g^{\log}$  may be described as the log structure associated to the following chart:

$$\mathbb{N}^d \longrightarrow \mathcal{O}[[t_1, \dots, t_m, t_{m+1}, \dots, t_{3g-3}]],$$

where  $(a_i)_i \mapsto \prod_{i \leq m} t_i^{a_i}$ . We denote this log scheme by

$$S_1^{\log} := (\mathrm{Spec} \mathcal{O}[[t_1, \dots, t_{3g-3}]], \mathbb{N}^m).$$

Moreover, we also obtain a log structure on the closed point of  $S_1$  by restricting the log structure of  $S_1^{\log}$ ; we denote the resulting log scheme by  $s_1^{\log} := (\mathrm{Spec} k, \mathbb{N}^m)$ . On the other hand, the closed point of  $\mathrm{Spec} R$  determines a log structure on  $\mathrm{Spec} R$ , which admits a chart

$$\begin{array}{ccc} \mathbb{N} & \longrightarrow & R \\ 1 & \mapsto & \pi. \end{array}$$

We denote the resulting log scheme by  $S_2^{\log} := (\mathrm{Spec} R, \mathbb{N})$ . Write  $s_2^{\log} := (\mathrm{Spec} k, \mathbb{N})$  for the log scheme obtained by restricting the log structure of  $S_2^{\log}$  to the closed point of  $S_2$ . Thus, we obtain a cartesian commutative diagram

$$\begin{array}{ccccc} X_2^{\log} & \longrightarrow & X_1^{\log} & \longrightarrow & \overline{\mathcal{X}}_g^{\log} \\ \downarrow & & \downarrow & & \downarrow \\ S_2^{\log} & \longrightarrow & S_1^{\log} & \longrightarrow & \overline{\mathcal{M}}_g^{\log} \end{array}$$

— where  $X_1^{\log}$  (resp.  $X_2^{\log}$ ) is defined so as to render the right-hand (resp. left-hand) square in the diagram cartesian; the underlying scheme of  $X_1^{\log}$  (resp.  $X_2^{\log}$ ) may be identified with  $\overline{\mathcal{X}}_g \times_{\overline{\mathcal{M}}_g} \mathrm{Spec} \mathcal{O}[[t_1, \dots, t_{3g-3}]]$  (resp.  $X$ ); for suitable choices of the indeterminates  $t_1, \dots, t_m$ , the lower horizontal arrow in the left-hand square of the diagram may be described, for  $i = 1, \dots, m$ , as follows:

$$\begin{array}{ccc} S_2^{\log} := (\mathrm{Spec} R, \mathbb{N}) & \longrightarrow & S_1^{\log} := (\mathrm{Spec} \mathcal{O}[[t_1, \dots, t_{3g-3}]], \mathbb{N}^m) \\ R & \longleftarrow & \mathcal{O}[[t_1, \dots, t_{3g-3}]] \\ \pi^{n_i} & \longleftarrow & t_i \\ \mathbb{N} & \longleftarrow & \mathbb{N}^m \\ \sum_i a_i n_i & \longleftarrow & (a_i). \end{array}$$

## 1.2 Log étale fundamental groups

For more details on the definition of the notion of a finite Kummer log étale covering, see [Ill] Section 3. Let  $Y^{\log}$  be a connected fs log scheme. Choose a strict log geometric point  $\tilde{y}^{\log} \longrightarrow Y^{\log}$  (i.e., a log geometric point (cf. [Ill] 4.2) over a strict geometric point (cf. [Hos] Section 2, Definition 1)  $\bar{y}^{\log} \longrightarrow Y^{\log}$ ). Then this choice of a strict log geometric point determines an associated log étale fundamental group  $\pi_1(Y^{\log})$ .

Let  $\ell$  be a prime number that is  $\neq \mathrm{char}(k)$ . For a proof of the following specialization theorem for log étale fundamental groups, see [Vid] Theorem 2.2.

**Proposition 1.1.** *Suppose that  $X_2^{\log}$  is as above. Let  $\bar{\eta} := \text{Spec } \bar{K} \rightarrow \text{Spec } K$  be a geometric point of  $\text{Spec } K$ . Write  $K^t$  for the maximal tamely ramified extension of  $K$  in  $\bar{K}$ ,  $R_{K^t}$  for the integral closure of  $R$  in  $K^t$ ,  $\eta^t := \text{Spec } K^t$ ,  $(\text{Spec } R_{K^t})^{\log}$  for the log scheme obtained by equipping  $\text{Spec } R_{K^t}$  with the log structure determined by the sheaf of nonzero regular functions, and  $\tilde{s}_2^{\log}$  for the log scheme*

$$\text{Spec } k \times_{\text{Spec } R_{K^t}} (\text{Spec } R_{K^t})^{\log}$$

— where we identify the residue field of  $R_{K^t}$  with  $k$ . Thus, we obtain a natural strict log geometric point  $\tilde{s}_2^{\log} \rightarrow S_2^{\log}$  induced by  $\bar{\eta}$ . Then there is a natural isomorphism between the pro- $\ell$  log étale fundamental groups at the respective fibers of  $X_2^{\log}$  over  $\bar{\eta}$  and  $\tilde{s}_2^{\log}$ , which is well-defined up to composition with an inner automorphism, as follows:

$$\pi_1^\ell((X_2^{\log})_{\bar{\eta}}) \cong \pi_1^\ell((X_2^{\log})_{\eta^t}) \rightarrow \pi_1^\ell((X_2^{\log})_{\tilde{s}_2^{\log}}).$$

Next, let us recall that if  $C \rightarrow U$  is a family of hyperbolic curves over a regular scheme  $U$ , and, for  $n$  a positive integer, we write  $C_n$  for the  $n$ -th configuration space associated to  $C \rightarrow U$ , then there is an associated homotopy exact sequence as follows (cf. [MT] Proposition 2.2 (iii)):

$$1 \rightarrow \pi_1^\ell((C_n)_{\bar{u}}) \rightarrow \pi_1^\ell(C_n) \rightarrow \pi_1^\ell(U) \rightarrow 1,$$

where  $\bar{u}$  is a geometric point of  $U$ . Since, for  $i = 1, 2$ , the interior of  $S_i^{\log}$  is a regular scheme, by applying the theorem of log purity and the deformation theory of log schemes (cf. [Hos] Section 4, Corollary 1), we obtain a homotopy exact sequence as follows (for the definition of stable log curves, see [HM2] Section 0):

**Corollary 1.2.** *Suppose that  $X_i^{\log} \rightarrow S_i^{\log}$ , where  $i \in \{1, 2\}$ , is the morphism discussed above. Let  $s_i \rightarrow S_i$  be a geometric point of  $S_i$ . Write  $s_i^{\log}$  for the log scheme obtained by equipping  $s_i$  with the log structure determined by restricting the log structure of  $S_i^{\log}$  to  $s_i$ . Let  $\tilde{s}_i^{\log} \rightarrow S_i^{\log}$  be a strict log geometric point of  $S_i^{\log}$  that factors through the natural morphism  $s_i^{\log} \rightarrow S_i^{\log}$ . Then the following sequence is exact:*

$$1 \rightarrow \pi_1^\ell((X_i^{\log})_{\tilde{s}_i^{\log}}) \rightarrow \pi_1^\ell((X_i^{\log})_{s_i^{\log}}) \rightarrow \pi_1^\ell(s_i^{\log}) \rightarrow 1$$

On the other hand, there is a classical scheme-theoretic description of the group  $\pi_1^\ell((X_i^{\log})_{\tilde{s}_i^{\log}})$  that does not require one to apply the theory of log schemes, namely, by means of the *pro- $\ell$  admissible fundamental group*. We use the notation  $\pi_1^{\ell\text{-adm}}(X_s)$  to denote the pro- $\ell$  admissible fundamental group of the special fiber  $X_s$ . We have a proposition as follows.

**Proposition 1.3.** *Let  $i \in \{1, 2\}$ . Suppose that  $X_s$ ,  $X_i^{\log}$ , and  $\tilde{s}_i^{\log}$  are as in Corollary 1.2 and the following discussion. Fix a strict geometric point  $\tilde{x}_i^{\log} \rightarrow (X_i^{\log})_{s_i^{\log}}$  whose image is a smooth point of the underlying scheme*

$(X_i^{\log})_{s_i}$ . Then there is a natural isomorphism of fundamental groups, which is well-defined up to composition with an inner automorphism, as follows:

$$\pi_1^{\ell\text{-adm}}(X_s) \cong \pi_1^{\ell}((X_i^{\log})_{\tilde{s}_i^{\log}})$$

— where  $\pi_1^{\ell}(-)$  is taken with respect to the base point determined by the strict geometric point  $\tilde{x}_i^{\log} \rightarrow (X_i^{\log})_{\tilde{s}_i^{\log}}$ ;  $\pi_1^{\ell\text{-adm}}(-)$  is taken with respect to the base point determined by the underlying morphism of schemes of  $\tilde{x}_i^{\log} \rightarrow (X_i^{\log})_{s_i}$ .

*Proof.* Write  $(s_1)_n^{\log}$  (resp.  $(s_2)_n^{\log}$ ) for the log scheme determined by the morphism of monoids

$$\begin{array}{ccc} \frac{1}{n} \cdot \mathbb{N}^m & \longrightarrow & k \\ a & \mapsto & 0, \end{array}$$

(resp.

$$\begin{array}{ccc} \frac{1}{n} \cdot \mathbb{N} & \longrightarrow & k \\ a & \mapsto & 0), \end{array}$$

where  $n$  is a positive integer such that  $(n, \text{char}(k)) = 1$ . If  $n'$  and  $n''$  are positive integers such that  $n'$  divides  $n''$ , then we consider the morphism of log schemes  $(s_1)_{n''}^{\log} \rightarrow (s_1)_{n'}^{\log}$  (resp.  $(s_2)_{n''}^{\log} \rightarrow (s_2)_{n'}^{\log}$ ) determined by the morphism of monoids

$$\begin{array}{ccc} \frac{1}{n'} \cdot \mathbb{N}^m & \longrightarrow & \frac{1}{n''} \cdot \mathbb{N}^m \\ a & \mapsto & a. \end{array}$$

(resp.

$$\begin{array}{ccc} \frac{1}{n'} \cdot \mathbb{N} & \longrightarrow & \frac{1}{n''} \cdot \mathbb{N} \\ a & \mapsto & a). \end{array}$$

If we allow  $n'$  and  $n''$  to vary, then these morphisms determine an inductive system, whose inductive limit is easily seen to be isomorphic to  $\tilde{s}_1^{\log}$  (resp.  $\tilde{s}_2^{\log}$ ). In the following, we shall fix one such isomorphism, which we shall use to *identify* this inductive limit with  $\tilde{s}_1^{\log}$  (resp.  $\tilde{s}_2^{\log}$ ).

To complete the proof of the Proposition, it suffices to construct, in a natural way, an equivalence between the Galois categories  $\text{Cov}_{\text{adm}}^{\ell}(X_s)$  and  $\text{Cov}^{\ell}((X_1^{\log})_{\tilde{s}_1^{\log}})$  (resp.  $\text{Cov}^{\ell}((X_2^{\log})_{\tilde{s}_2^{\log}})$ ). Here, we note that  $\text{Cov}^{\ell}((X_1^{\log})_{\tilde{s}_1^{\log}})$  (resp.  $\text{Cov}^{\ell}((X_2^{\log})_{\tilde{s}_2^{\log}})$ ) may be identified with  $\varinjlim_n \text{Cov}^{\ell}((X_1^{\log})_{(s_1)_n^{\log}})$  (resp.  $\varinjlim_n \text{Cov}^{\ell}((X_2^{\log})_{(s_2)_n^{\log}})$ ). Since any finite Kummer log étale covering of  $(X_1)_{(s_1)_n^{\log}}^{\log}$  (resp.  $(X_2)_{(s_2)_n^{\log}}^{\log}$ ) determines a multi-log admissible covering (i.e., a disjoint union of log admissible coverings) after base-change to  $(s_1)_m^{\log}$  (resp.  $(s_2)_m^{\log}$ ) for some positive integer  $m \gg 0$ , the Proposition follows immediately from [Moc1] Proposition 3.11.  $\square$



**Remark 1.3.1.** The isomorphism  $\pi_1^{\ell\text{-adm}}((X_2)_s) \cong \pi_1^\ell((X_2^{\log})_{\bar{s}_2^{\log}})$  can be also deduced by applying the log purity theorem, the specialization theorem for Kummer log étale fundamental groups, and the specialization theorem for admissible fundamental groups.

## 2 Degeneration of period matrices of stable curves

In this section, we assume that  $k$  is an algebraically closed field.

### 2.1 Pro- $\ell$ period matrices of stable curves and their functorial properties

In this subsection, we give the definition of the pro- $\ell$  period matrix morphism associated to a stable curve over  $k$ .

Let  $X$  be a stable curve of genus  $g$  over  $k$ . Write  $\Gamma_X$  for the dual graph of  $X$ ,  $v(\Gamma_X)$  for the set of vertices of  $\Gamma_X$ ,  $e(\Gamma_X)$  for the set of edges of  $\Gamma_X$ , and  $\Pi_X := \pi_1^{\ell\text{-adm}}(X)$  for the pro- $\ell$  admissible fundamental group of  $X$ . We use the notation  $X_v$  to denote the irreducible component of  $X$  corresponding to  $v \in v(\Gamma_X)$ . Thus,  $U_v := X_v \setminus \text{Node}(X)$  is an open subscheme of  $X_v$ , where  $\text{Node}(X)$  denotes the set of nodes of  $X$ ; the pro- $\ell$  étale fundamental group of  $U_v$ , which we denote by  $\Pi_v := \pi_1^\ell(U_v)$ , may be regarded as the decomposition group  $\subseteq \Pi_X$  (which is well-defined up to  $\Pi_X$ -conjugation) associated to  $v$ . For  $e \in e(\Gamma_X)$ , write  $\Pi_e (\cong \mathbb{Z}_\ell(1))$  for the decomposition group  $\subseteq \Pi_X$  (which is well-defined up to  $\Pi_X$ -conjugation) associated to  $e$ . Write  $\pi_1^\ell(\Gamma_X)$  for the pro- $\ell$  completion of the topological fundamental group of the dual graph  $\Gamma_X$ . Finally, we use the notation  $M_X$  (resp.  $M_X^{\text{top}}$ ,  $M_X^{\text{ver}}$ ,  $M_X^{\text{edge}}$ ) to denote the abelianization of  $\Pi_X$  (resp. the abelianization of  $\pi_1^\ell(\Gamma_X)$ ,  $\text{Im}(\bigoplus_{v \in v(\Gamma_X)} \Pi_v^{\text{ab}} \rightarrow M_X)$ ,  $\text{Im}(\bigoplus_{e \in e(\Gamma_X)} \Pi_e^{\text{ab}} \rightarrow M_X)$ ).

By the definitions given above, we obtain a filtration as follows:

$$0 \subseteq M_X^{\text{edge}} \subseteq M_X^{\text{ver}} \subseteq M_X.$$

Moreover, there are two natural exact sequences:

$$0 \longrightarrow M_X^{\text{ver}} \longrightarrow M_X \longrightarrow M_X^{\text{top}} \longrightarrow 0,$$

$$0 \longrightarrow M_X^{\text{edge}} \longrightarrow M_X^{\text{ver}} \longrightarrow M_X^{\text{ver}}/M_X^{\text{edge}} \longrightarrow 0.$$

For more details on the first exact sequence, see [Moc3] Definition 1.1 and [Moc3] Remark 1.1.4. Furthermore, we have the following proposition which can be proved by using the structure of Picard schemes of stable curves (cf. [BLR] Section 9.2, Example 8) and the theory of Raynaud extensions (cf. [FC] Chapter II, Section 1). On the other hand, for a purely group-theoretic proof, see [HM1] Lemma 1.4.

**Proposition 2.1.** *For  $v \in v(\Gamma_X)$ , write  $X'_v$  for the normalization of  $X_v$ ,  $J(X'_v)$  for the Jacobian of  $X'_v$ , and  $(\Delta_v^{\text{cpt}})^{\text{ab}}$  for the pro- $\ell$  étale fundamental group of  $J(X'_v)$  (i.e., the  $\ell$ -adic Tate module associated to  $J(X'_v)$ ). Then, we have*

$$M_X^{\text{ver}}/M_X^{\text{edge}} \cong \bigoplus_v (\Delta_v^{\text{cpt}})^{\text{ab}}.$$

The stable curve  $X \rightarrow \text{Spec } k$  determines a classifying morphism  $\text{Spec } k \rightarrow \overline{\mathcal{M}}_g$  to the moduli stack  $\overline{\mathcal{M}}_g$ . Thus, we obtain a log structure on  $\text{Spec } k$ , naturally associated to the stable curve  $X$ , by restricting the log structure of  $\overline{\mathcal{M}}_g^{\text{log}}$ ; denote the resulting log scheme by  $s_X^{\text{log}}$ . We also obtain a stable log curve  $X^{\text{log}} := \overline{\mathcal{X}}_g^{\text{log}} \times_{\overline{\mathcal{M}}_g^{\text{log}}} s_X^{\text{log}}$  over  $s_X^{\text{log}}$  whose underlying scheme is  $X$ . Thus, we have an isomorphism  $I_{s_X^{\text{log}}} := \pi_1^\ell(s_X^{\text{log}}) \cong \bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_\ell(1)_e$ . Furthermore, there are natural actions of  $I_{s_X^{\text{log}}}$  on the exact sequences  $0 \rightarrow M_X^{\text{ver}} \rightarrow M_X \rightarrow M_X^{\text{top}} \rightarrow 0$  and  $0 \rightarrow M_X^{\text{edge}} \rightarrow M_X^{\text{ver}} \rightarrow M_X^{\text{ver}}/M_X^{\text{edge}} \rightarrow 0$ . Denote the extension class corresponding to  $M_X$  by

$$[M_X] \in \text{Ext}_{I_{s_X^{\text{log}}}}^1(M_X^{\text{top}}, M_X^{\text{ver}}).$$

By [Mil] Example 0.8, there is a spectral sequence converging to

$$\text{Ext}_{I_{s_X^{\text{log}}}}^{p+q}(M_X^{\text{top}}, M_X^{\text{ver}}).$$

whose  $E_2$ -term is given by  $\text{H}^p(I_{s_X^{\text{log}}}, \text{Ext}_{\mathbb{Z}}^q(M_X^{\text{top}}, M_X^{\text{ver}}))$ . In particular, we obtain a long exact sequence as follows:

$$\begin{aligned} 0 \rightarrow \text{H}^1(I_{s_X^{\text{log}}}, \text{Hom}_{\mathbb{Z}}(M_X^{\text{top}}, M_X^{\text{ver}})) &\rightarrow \text{Ext}_{I_{s_X^{\text{log}}}}^1(M_X^{\text{top}}, M_X^{\text{ver}}) \\ &\rightarrow \text{H}^0(I_{s_X^{\text{log}}}, \text{Ext}_{\mathbb{Z}}^1(M_X^{\text{top}}, M_X^{\text{ver}})). \end{aligned}$$

Since  $M_X, M_X^{\text{top}}, M_X^{\text{ver}}, M_X^{\text{edge}}$  are free  $\mathbb{Z}_\ell$ -modules of finite rank, we thus conclude that the morphism  $\text{H}^1(I_{s_X^{\text{log}}}, \text{Hom}_{\mathbb{Z}}(M_X^{\text{top}}, M_X^{\text{ver}})) \rightarrow \text{Ext}_{I_{s_X^{\text{log}}}}^1(M_X^{\text{top}}, M_X^{\text{ver}})$  is an isomorphism. Thus, the extension class  $[M_X]$  may be regarded as an element of  $\text{H}^1(I_{s_X^{\text{log}}}, \text{Hom}_{\mathbb{Z}}(M_X^{\text{top}}, M_X^{\text{ver}}))$ .

Here, we observe that, for any two finitely generated free  $\mathbb{Z}_\ell$ -modules  $M, N$ , we have natural isomorphisms

$$\text{Hom}_{\mathbb{Z}}(M, N) \cong \varprojlim_n \text{Hom}_{\mathbb{Z}/\ell^n \mathbb{Z}}(M/\ell^n M, N/\ell^n N) \cong \text{Hom}_{\mathbb{Z}_\ell}(M, N).$$

Thus, we shall use the notation  $\text{Hom}(-, -)$  to denote  $\text{Hom}_{\mathbb{Z}_\ell}(-, -)$ .

**Proposition 2.2.** *In the notation of the above discussion, the actions of  $I_{s_X^{\text{log}}}$  on  $M_X^{\text{top}}, M_X^{\text{ver}}, M_X^{\text{edge}}$ , and  $M_X/M_X^{\text{edge}}$  are trivial.*

*Proof.* First, we have two exact sequences as follows:

$$0 \longrightarrow M_X^{\text{edge}} \longrightarrow M_X \longrightarrow M_X/M_X^{\text{edge}} \longrightarrow 0$$

and

$$0 \longrightarrow M_X^{\text{ver}} \longrightarrow M_X \longrightarrow M_X^{\text{top}} \longrightarrow 0.$$

By Poincaré duality (cf. [Moc3] Proposition 1.3), we have natural isomorphisms

$$M_X^{\text{edge}} \cong \text{Hom}(M_X^{\text{top}}, \mathbb{Z}_\ell(1))$$

and

$$M_X^{\text{ver}} \cong \text{Hom}(M_X/M_X^{\text{edge}}, \mathbb{Z}_\ell(1)).$$

Thus, to complete the proof of our claim, it suffices to show (since  $M_X^{\text{edge}} \subseteq M_X^{\text{ver}}$ , and  $I_{s_X^{\log}}$  acts trivially on  $\mathbb{Z}_\ell(1)$ ) that the action of  $I_{s_X^{\log}}$  on  $M_X^{\text{ver}}$  (or  $M_X/M_X^{\text{edge}}$ ) is trivial. Next, let us write  $X_1 \longrightarrow S_1$  for the restriction of the tautological curve  $\overline{\mathcal{X}}_g$  over the moduli stack  $\overline{\mathcal{M}}_g$  to the spectrum of the completion of the local ring at the point of  $\overline{\mathcal{M}}_g$  corresponding to  $X$ . For each vertex  $v$  of  $v(\Gamma_X)$ , write  $U_v := X_v \setminus \text{Node}(X)$ ,  $M_v$  for the image in  $M_X^{\text{ver}}$  of the decomposition group associated to  $v$ . Then every open subgroup of  $M_v$  corresponds to an abelian étale covering of the curve  $U_v$ , and every étale covering of  $U_v$  lifts uniquely (up to unique isomorphism), without base change, to an étale covering of the formal neighborhood of  $U_v$  in  $X_1$ , the claim follows immediately. Alternatively, the claim may be verified by observing that every open subgroup of  $M_X/M_X^{\text{edge}}$  corresponds to an abelian étale covering of the stable curve  $X$ , and every étale covering of  $X$  lifts uniquely (up to unique isomorphism) to an étale covering of  $X_1$  without base change.

This completes the proof of our proposition.  $\square$

By using Proposition 2.2, we can prove a proposition as follows:

**Proposition 2.3.** *In the notation of the above discussion, then the natural map  $\text{H}^1(I_{s_X^{\log}}, \text{Hom}(M_X^{\text{top}}, M_X^{\text{edge}})) \longrightarrow \text{H}^1(I_{s_X^{\log}}, \text{Hom}(M_X^{\text{top}}, M_X^{\text{ver}}))$  is injective, and (if, by abuse of notation, we identify the domain of this injection with its image via the injection, then) the extension class*

$$[M_X] \in \text{H}^1(I_{s_X^{\log}}, \text{Hom}(M_X^{\text{top}}, M_X^{\text{edge}})).$$

*Proof.* The short exact sequence  $0 \longrightarrow M_X^{\text{edge}} \longrightarrow M_X^{\text{ver}} \longrightarrow M_X^{\text{ver}}/M_X^{\text{edge}} \longrightarrow 0$  of  $I_{s_X^{\log}}$ -modules determines a long exact sequence

$$\begin{aligned} 0 &\longrightarrow \text{Hom}(M_X^{\text{top}}, M_X^{\text{edge}})_{I_{s_X^{\log}}} \longrightarrow \text{Hom}(M_X^{\text{top}}, M_X^{\text{ver}})_{I_{s_X^{\log}}} \\ &\longrightarrow \text{Hom}(M_X^{\text{top}}, M_X^{\text{ver}}/M_X^{\text{edge}})_{I_{s_X^{\log}}} \longrightarrow \text{H}^1(I_{s_X^{\log}}, \text{Hom}(M_X^{\text{top}}, M_X^{\text{edge}})) \\ &\longrightarrow \text{H}^1(I_{s_X^{\log}}, \text{Hom}(M_X^{\text{top}}, M_X^{\text{ver}})) \longrightarrow \text{H}^1(I_{s_X^{\log}}, \text{Hom}(M_X^{\text{top}}, M_X^{\text{ver}}/M_X^{\text{edge}})) \longrightarrow \dots \end{aligned}$$

— where the superscript “ $I_{s_X^{\log}}$ ” denotes the submodule of  $I_{s_X^{\log}}$ -invariants. Since the functor  $\text{Hom}(M_X^{\text{top}}, -)$  is exact, and the actions of  $I_{s_X^{\log}}$  on  $M_X^{\text{top}}, M_X^{\text{ver}}$ , and  $M_X^{\text{ver}}/M_X^{\text{edge}}$  are trivial, the morphism

$$\text{Hom}(M_X^{\text{top}}, M_X^{\text{ver}})^{I_{s_X^{\log}}} \longrightarrow \text{Hom}(M_X^{\text{top}}, M_X^{\text{ver}}/M_X^{\text{edge}})^{I_{s_X^{\log}}}$$

is a surjection. Thus, the morphism

$$\text{H}^1(I_{s_X^{\log}}, \text{Hom}(M_X^{\text{top}}, M_X^{\text{edge}})) \longrightarrow \text{H}^1(I_{s_X^{\log}}, \text{Hom}(M_X^{\text{top}}, M_X^{\text{ver}}))$$

is an injection.

Since the action of  $I_{s_X^{\log}}$  on  $M_X/M_X^{\text{edge}}$  is trivial (cf. Proposition 2.2), it follows formally that the image of the extension class  $[M_X]$  via the morphism  $\text{H}^1(I_{s_X^{\log}}, \text{Hom}(M_X^{\text{top}}, M_X^{\text{ver}})) \longrightarrow \text{H}^1(I_{s_X^{\log}}, \text{Hom}(M_X^{\text{top}}, M_X^{\text{ver}}/M_X^{\text{edge}}))$  is 0. This implies that

$$[M_X] \in \text{H}^1(I_{s_X^{\log}}, \text{Hom}(M_X^{\text{top}}, M_X^{\text{edge}})).$$

This completes the proof of the proposition.  $\square$

**Remark 2.3.1.** Let  $Y^\bullet := (Y, D)$  be a pointed stable curve over  $\text{Spec } k$ . Then just as in the non-pointed case, we have a filtration as follows:

$$0 \subseteq M_{Y^\bullet}^{\text{cusp}} \subseteq M_{Y^\bullet}^{\text{edge}} \subseteq M_{Y^\bullet}^{\text{ver}} \subseteq M_{Y^\bullet} \twoheadrightarrow M_{Y^\bullet}^{\text{top}} := M_{Y^\bullet}/M_{Y^\bullet}^{\text{ver}},$$

where  $M_{Y^\bullet}$  denotes the abelianization of  $\pi_1^{\ell\text{-adm}}(Y^\bullet)$ ;  $M_{Y^\bullet}^{\text{ver}}$  (resp.  $M_{Y^\bullet}^{\text{edge}}, M_{Y^\bullet}^{\text{cusp}}$ ) denotes the subgroup of  $M_{Y^\bullet}$  generated by the subgroups that arise from the irreducible components (resp. nodes and cusps, cusps). Similar arguments to the arguments given in the proofs of Proposition 2.2 and 2.3 imply that the actions of  $I_{s_{Y^\bullet}^{\log}}$  on  $M_{Y^\bullet}^{\text{top}}, M_{Y^\bullet}^{\text{ver}}, M_{Y^\bullet}^{\text{edge}}, M_{Y^\bullet}/M_{Y^\bullet}^{\text{edge}}$  are trivial, and, moreover, that we obtain a corresponding extension class

$$[M_{Y^\bullet}] \in \text{H}^1(I_{s_{Y^\bullet}^{\log}}, \text{Hom}(M_{Y^\bullet}^{\text{top}}, M_{Y^\bullet}^{\text{edge}})).$$

Since  $\text{H}^1(I_{s_X^{\log}}, \text{Hom}(M_X^{\text{top}}, M_X^{\text{edge}})) \cong \text{Hom}(I_{s_X^{\log}}, \text{Hom}(M_X^{\text{top}}, M_X^{\text{edge}}))$ , by Poincaré duality (cf. [Moc3] Proposition 1.3), the extension class  $[M_X]$  corresponds to a continuous group homomorphism

$$f_X : I_{s_X^{\log}} \longrightarrow \text{Hom}(M_X^{\text{top}} \otimes M_X^{\text{top}}, \mathbb{Z}_\ell(1)).$$

**Definition 2.4.** We shall refer to the morphism  $f_X$  discussed above as the *pro- $\ell$  period matrix morphism associated to  $X$* . For an element  $a \in I_{s_X^{\log}}$ , we shall refer to the quadratic form  $f_X(a)$  on  $M_X^{\text{top}}$  as the *pro- $\ell$  period matrix associated to  $a$* . Note that  $f_X(a)$  is a symmetric quadratic form on  $M_X^{\text{top}}$  for each  $a \in I_{s_X^{\log}}$  (cf. [FC] Chapter III Section 8).

In the next two remarks, we will explain the functorial properties of period matrices.

**Remark 2.4.1.** We discuss a certain functorial property that relates the pro- $\ell$  period matrix morphisms associated to a stable curve to the corresponding morphism associated to a stable “sub-curve”.

Let  $X$  be a stable curve over  $s := \text{Spec } k$  which is *sturdy* (i.e., the genus of the normalization of each irreducible component of  $Y$  is  $\geq 2$ ),  $\Gamma_X$  the dual graph of  $X$ ,  $V$  a subset of  $v(\Gamma_X) \cup e(\Gamma_X)$ . Suppose that  $U_V := X \setminus ((\bigcup_{v \in V} X_v) \cup (\bigcup_{e \in V} e))$  is a *connected* curve. Write  $(g_V, r_V)$  for the type of  $U_V$ ;  $X_V$  for the compactification of  $U_V$  (i.e., the closure of  $U_V$  in the scheme obtained by normalizing  $X_V$  at the nodes of  $X \setminus U_V$ ). Thus, the pair  $(X_V, X_V \setminus U_V)$  determines a pointed stable curve  $X_V^\bullet$ , which may be regarded as associated to  $V$ . If  $v \in v(\Gamma_X)$ , then by applying these conventions in the case where “ $V$ ” is taken to be  $[v] := (v(\Gamma_X) \setminus \{v\}) \cup \text{Node}(X_v)$ , we obtain a pointed stable curve  $X_{[v]}^\bullet$  of type  $(g_v, r_v)$ , where  $g_v$  is the genus of  $X_{[v]}$ , and  $r_v$  is the cardinality of the set

$$\left\{ X_v \cap \left( \bigcup_{v \neq w \in v(\Gamma_X)} X_w \right) \right\} \cup \text{Node}(X_v).$$

Thus, if we write  $s_X^{\log}$  (resp.  $s_V^{\log}$ ;  $(s_V^U)^{\log}$ ) for the log scheme whose underlying scheme is  $s$ , and whose log structure is obtained by pulling back the log structure of the log moduli stack  $\overline{\mathcal{M}}_g^{\log}$  (resp.  $\overline{\mathcal{M}}_{g_V}^{\log}$ ;  $\overline{\mathcal{M}}_{g_V, r_V}^{\log}$ ) via the classifying morphism  $\sigma$  (resp.  $\sigma_V$ ;  $\sigma_V^U$ ) associated to  $X \rightarrow s$  (resp.  $X_V \rightarrow s$ ;  $X_V^\bullet \rightarrow s$ , i.e., for a suitable choice of ordering of the cusps), then we obtain a stable log curve

$$X^{\log} \rightarrow s_X^{\log} \quad (\text{resp. } X_V^{\log} \rightarrow s_V^{\log}; X_V^{\bullet \log} \rightarrow (s_V^U)^{\log})$$

by pulling back the morphism of log stacks  $\overline{\mathcal{X}}_g^{\log} \rightarrow \overline{\mathcal{M}}_g^{\log}$  (resp.  $\overline{\mathcal{X}}_{g_V}^{\log} \rightarrow \overline{\mathcal{M}}_{g_V}^{\log}$ ;  $\overline{\mathcal{X}}_{g_V, r_V}^{\log} \rightarrow \overline{\mathcal{M}}_{g_V, r_V}^{\log}$ ). If  $\mathcal{S}$  is a Deligne-Mumford stack over  $\text{Spec } \mathbb{Z}$ , write  $\mathcal{S}_s$  for the stack  $\mathcal{S} \times_{\text{Spec } \mathbb{Z}} s$  over  $s$ . Then the geometry of the stable curve  $X$ , together with the original choice of a subset  $V$  of  $v(\Gamma_X)$ , determine a clutching morphism of moduli stacks (i.e., for a suitable choice of ordering of the cusps):

$$\psi : \mathcal{N} := (\overline{\mathcal{M}}_{g_V, r_V})_s \times_s \prod_{v \in V} (\overline{\mathcal{M}}_{g_v, r_v})_s \rightarrow (\overline{\mathcal{M}}_g)_s$$

Let  $\mathcal{N}^{\log}$  be the log stack whose underlying stack is  $\mathcal{N}$ , and whose log structure is the pull-back of the log structure of  $(\overline{\mathcal{M}}_g)_s^{\log}$  by  $\psi$ . On the other hand, we also have a log structure determined by the divisor given by the union of pull-backs to  $\mathcal{N}$  of the divisors at infinity of each of the factors  $(\overline{\mathcal{M}}_{g_V, r_V})_s$  and  $(\overline{\mathcal{M}}_{g_v, r_v})_s$ , for  $v \in V$ ; write  $\mathcal{N}_V^{\log}$  for the resulting log stack, which, as is easily verified, is isomorphic to the log stack  $(\overline{\mathcal{M}}_{g_V, r_V})_s^{\log} \times_s \prod_{v \in V} (\overline{\mathcal{M}}_{g_v, r_v})_s^{\log}$ . We have a natural morphism between the two log stacks  $\mathcal{N}^{\log}$  and  $(\overline{\mathcal{M}}_{g_V})_s^{\log}$  obtained by composing the following three morphisms:

$$\mathcal{N}^{\log} \rightarrow \mathcal{N}_V^{\log} \rightarrow (\overline{\mathcal{M}}_{g_V, r_V})_s^{\log} \rightarrow (\overline{\mathcal{M}}_{g_V})_s^{\log}.$$

Here, the first morphism of log stacks is obtained by forgetting the portion of the log structure of  $\mathcal{N}^{\log}$  that arises from the irreducible components of the divisor  $(\overline{\mathcal{M}}_g)_s \setminus (\mathcal{M}_g)_s$  which contain the image of  $(\overline{\mathcal{M}}_{g_V, r_V})_s \times_s \prod_{v \in V} (\overline{\mathcal{M}}_{g_v, r_v})_s$ . The second morphism of log stacks is the natural projection. The third morphism of log stacks is obtained by forgetting the marked points.

Next, let us describe the local structure of the morphisms  $\mathcal{N}^{\log} \rightarrow (\overline{\mathcal{M}}_{g_V, r_V})_s^{\log} \rightarrow (\overline{\mathcal{M}}_{g_V})_s^{\log}$ . First, let us observe that the geometry of  $X$  determines a morphism  $\tau : s \rightarrow \mathcal{N}$  such that  $\sigma = \psi \circ \tau$ . Then for suitable charts defined over étale neighborhoods of  $\tau$ ,  $\sigma_V^U$  and  $\sigma_V$ , the morphisms  $\mathcal{N}^{\log} \rightarrow (\overline{\mathcal{M}}_{g_V, r_V})_s^{\log} \rightarrow (\overline{\mathcal{M}}_{g_V})_s^{\log}$  may be described in terms of morphisms of monoids as follows:

$$\bigoplus_{e \in \text{Node}(X_V)} \mathbb{N}_e \rightarrow \bigoplus_{e \in \text{Node}(U_V)} \mathbb{N}_e \rightarrow \bigoplus_{e \in \text{Node}(X)} \mathbb{N}_e.$$

Here, the first arrow is induced by the natural bijection  $\text{Node}(U_V) \xrightarrow{\sim} \text{Node}(X_V)$ ; the second arrow is the assignment  $(a_e)_{e \in \text{Node}(U_V)} \mapsto ((a_e)_{e \in \text{Node}(U_V)}, 0, \dots, 0)$ , induced by the natural inclusion  $\text{Node}(U_V) \hookrightarrow \text{Node}(X)$ . Thus, the associated morphisms of pro- $\ell$  Kummer log étale fundamental groups may be written as follows:

$$\begin{aligned} \pi_1^\ell(s_X^{\log}) &\cong \bigoplus_{e \in \text{Node}(X)} \mathbb{Z}_\ell(1)_e \rightarrow \pi_1^\ell((s_V^U)^{\log}) \cong \bigoplus_{e \in \text{Node}(U_V)} \mathbb{Z}(1)_e \\ &\xrightarrow{\sim} \pi_1^\ell(s_V^{\log}) \cong \bigoplus_{e \in \text{Node}(X_V)} \mathbb{Z}_\ell(1)_e, \end{aligned}$$

where the morphisms are the natural projections.

Write  $(X_V^{\log})_{s_X^{\log}}$  for the stable log curve  $X_V^{\log} \times_{s_V^{\log}} s_X^{\log}$ . Write  $(U_V)^{\log}$  for the stable log curve over  $s_X^{\log}$  whose underlying scheme is  $U_V$ , and whose log structure is the pull-back of the log structure of  $X^{\log}$ . Thus, we have a commutative diagram of log schemes as follows:

$$\begin{array}{ccccccc} X_V^{\log} & \longleftarrow & (X_V^{\log})_{s_X^{\log}} & \longleftarrow & (U_V)^{\log} & \longrightarrow & X^{\log} \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ s_V^{\log} & \longleftarrow & s_X^{\log} & \longleftarrow & s_X^{\log} & \longleftarrow & s_X^{\log} \end{array}$$

Choose a strict log geometric point  $\tilde{s}_X^{\log}$  (resp.  $(X_V^{\log})_{s_X^{\log}}$ ) over  $s_X^{\log} \rightarrow \overline{\mathcal{M}}_g^{\log}$  (resp.  $s_V^{\log} \rightarrow \overline{\mathcal{M}}_{g_V}^{\log}$ ) (cf. Section 1.2). Thus, by a similar argument to the argument given in the proof of Proposition 1.3, we have a natural (outer) isomorphism  $\pi_1^\ell(((X_V^{\log})_{s_X^{\log}})_{\tilde{s}_X^{\log}}) \cong \pi_1^\ell((X_V^{\log})_{\tilde{s}_V^{\log}})$  induced by the morphism of log schemes  $(X_V^{\log})_{s_X^{\log}} \rightarrow X_V^{\log}$ . Moreover, the natural (outer) homomorphism

$\pi_1^\ell((U_V)_{s_X^{\log}}^{\log}) \longrightarrow \pi_1^\ell(((X_V^{\log})_{s_X^{\log}})_{s_X^{\log}}^{\log})$  induced by the morphism of log schemes  $(U_V)^{\log} \longrightarrow (X_V^{\log})_{s_X^{\log}}$  is a surjection.

By considering the right-hand square of the commutative diagram discussed above, together with the natural projection  $M_X^{\text{edge}} \longrightarrow M_{U_V}^{\text{edge}}$  (cf. also Remark 2.3.1) and the natural morphism  $M_{U_V}^{\text{top}} \longrightarrow M_X^{\text{top}}$  induced by the natural open immersion  $U_V \hookrightarrow X$ , we obtain a commutative diagram:

$$\begin{array}{ccc} \pi_1^\ell(s_X^{\log}) & \longrightarrow & \text{Hom}(M_X^{\text{top}}, M_X^{\text{edge}}) \\ \parallel & & \downarrow \\ \pi_1^\ell(s_X^{\log}) & \longrightarrow & \text{Hom}(M_{U_V}^{\text{top}}, M_{U_V}^{\text{edge}}). \end{array}$$

Note that the natural open immersion  $U_V \hookrightarrow X_V$  induces natural isomorphisms  $M_{U_V}^{\text{top}} \xrightarrow{\sim} M_{X_V}^{\text{top}}$  and  $M_{U_V}^{\text{edge}} \xrightarrow{\sim} M_{X_V}^{\text{edge}} \oplus M_{U_V}^{\text{cusp}}$ , where we write  $M_{U_V}^{\text{cusp}}$  for the group “ $M_{(-)}^{\text{cusp}}$ ” of Remark 2.3.1 associated to the pointed stable curve “ $(-)$ ” determined by  $U_V$ . Thus, by applying a similar argument to the argument applied to obtain the commutative diagram of the preceding display, we obtain a commutative diagram

$$\begin{array}{ccc} \pi_1^\ell(s_X^{\log}) & \longrightarrow & \text{Hom}(M_{U_V}^{\text{top}}, M_{U_V}^{\text{edge}}) \\ \parallel & & \downarrow \\ \pi_1^\ell(s_X^{\log}) & \longrightarrow & \text{Hom}(M_{X_V}^{\text{top}}, M_{X_V}^{\text{edge}}) \\ \parallel & & \downarrow \\ \pi_1^\ell(s_X^{\log}) & \longrightarrow & \text{Hom}(M_{X_V}^{\text{top}} \otimes M_{X_V}^{\text{top}}, \mathbb{Z}_\ell(1)), \end{array}$$

where the isomorphism  $\text{Hom}(M_{X_V}^{\text{top}}, M_{X_V}^{\text{edge}}) \longrightarrow \text{Hom}(M_{X_V}^{\text{top}} \otimes M_{X_V}^{\text{top}}, \mathbb{Z}_\ell(1))$  is induced by Poincaré duality.

On the other hand, since the actions of  $\pi_1^\ell(s_X^{\log})$  and  $\pi_1^\ell(s_V^{\log})$  on  $0 \longrightarrow M_{X_V}^{\text{ver}} \longrightarrow M_{X_V} \longrightarrow M_{X_V}^{\text{top}} \longrightarrow 0$  are compatible, we thus obtain a commutative diagram

$$\begin{array}{ccc} \pi_1^\ell(s_X^{\log}) & \longrightarrow & \text{Hom}(M_{X_V}^{\text{top}} \otimes M_{X_V}^{\text{top}}, \mathbb{Z}_\ell(1)) \\ \downarrow & & \parallel \\ \pi_1^\ell(s_V^{\log}) & \longrightarrow & \text{Hom}(M_{X_V}^{\text{top}} \otimes M_{X_V}^{\text{top}}, \mathbb{Z}_\ell(1)), \end{array}$$

where the lower horizontal arrow is the pro- $\ell$  period matrix morphism (cf. Definition 2.4) associated to  $X_V$ . So we have a functorial property of pro- $\ell$  period matrix morphism as follows:

$$\begin{array}{ccc} \pi_1^\ell(s_X^{\log}) & \xrightarrow{f_X} & \text{Hom}(M_X^{\text{top}} \otimes M_X^{\text{top}}, \mathbb{Z}_\ell(1)) \\ u_V \downarrow & & v_V \downarrow \\ \pi_1^\ell(s_V^{\log}) & \xrightarrow{f_{X_V}} & \text{Hom}(M_{X_V}^{\text{top}} \otimes M_{X_V}^{\text{top}}, \mathbb{Z}_\ell(1)), \end{array}$$

where the morphism of the left hand side is projective, and the morphism of the right hand side is the pro- $\ell$  completion of the natural morphism of topology fundamental groups  $\pi_1(\Gamma_{X_V}) \longrightarrow \pi_1(\Gamma_X)$  which induced by the embedding  $\Gamma_{X_V} \hookrightarrow \Gamma_X$ .

**Remark 2.4.2.** In this remark, we will explain a functorial property that relates the various pro- $\ell$  period matrix morphisms associated to a deformation of a stable curve.

First, let us explain how to deform a stable curve along a set of nodes. Let  $R$  be a complete discrete valuation ring with algebraically closed residue field  $k$ ,  $K$  the quotient field of  $R$ ,  $\bar{K}$  an algebraic closure of  $K$ . Write  $S := \text{Spec } R$  for the spectrum of  $R$ ,  $\eta := \text{Spec } K \hookrightarrow S$  (resp.  $s := \text{Spec } k \hookrightarrow S$ ) for the subscheme determined by the generic point (resp. closed point) of  $S$ . Let  $X$  be a stable curve over  $s$  of genus  $g$ ,  $\Gamma_X$  the dual graph of  $X$ , and  $m := \sharp e(\Gamma_X)$ .

Let  $L$  be a subset of  $e(\Gamma_X)$ . We claim that we can deform the stable curve  $X$  along  $L$  to obtain a new stable curve over  $\bar{\eta} := \text{Spec } \bar{K}$  such that the set of edges of the dual graph of the new stable curve may be naturally identified with  $e(\Gamma_X) \setminus L$ . Suppose that  $\phi_s : s \longrightarrow \bar{\mathcal{M}}_g$  is the classifying morphism determined by  $X \longrightarrow s$ . Thus the completion of the local ring of the moduli stack at  $\phi_s$  is isomorphic to  $\mathcal{O}[[t_1, \dots, t_{3g-3}]]$ . Furthermore, the indeterminates  $t_1, \dots, t_m$  may be chosen so as to correspond to the deformations of the nodes of  $X$ . Suppose that  $\{t_1, \dots, t_d\}$  is the subset of  $\{t_1, \dots, t_m\}$  corresponding to the subset  $L \subseteq e(\Gamma_X)$ . Now fix a morphism  $S \longrightarrow \text{Spec } \mathcal{O}[[t_1, \dots, t_{3g-3}]]$  such that  $t_{d+1}, \dots, t_m \mapsto 0 \in R$ , but  $t_1, \dots, t_d$  map to nonzero elements of  $R$ . Then the composite morphism  $\downarrow_L \phi : S \longrightarrow \text{Spec } \mathcal{O}[[t_1, \dots, t_{3g-3}]] \longrightarrow \bar{\mathcal{M}}_g$  determines a stable curve  $\downarrow_L \mathcal{X}$  over  $S$ . Moreover, the special fiber of  $\downarrow_L \mathcal{X}$  is naturally isomorphic to  $X$  over  $s$ . Write  $\downarrow_L X$  for the geometric generic fiber  $\downarrow_L \mathcal{X} \times_{\eta} \bar{\eta}$ ,  $\Gamma_{\downarrow_L X}$  for the dual graph of  $\downarrow_L X$ . It follows from the construction of  $\downarrow_L X$  that we have two natural maps

$$v(\Gamma_X) \longrightarrow v(\Gamma_{\downarrow_L X}), \quad e(\Gamma_X) \setminus L \xrightarrow{\sim} e(\Gamma_{\downarrow_L X})$$

(the latter of which is a bijection); we shall denote this pair of maps by the notation

$$\Gamma_X \longrightarrow \Gamma_{\downarrow_L X}$$

which we shall refer to as the *contracting morphism* associated to the deformation. Similarly, we can deform the stable curve  $X$  along  $e(\Gamma_X) \setminus L$  (i.e., by taking “ $L$ ” to be  $e(\Gamma_X) \setminus L$ ). This yields a new stable curve, which we denote by  ${}_L \mathcal{X}$ , over  $S$  such that the set of nodes  $e(\Gamma_{{}_L X})$  of the dual graph of the geometric generic fiber  ${}_L X$  of  ${}_L \mathcal{X}$  may be naturally identified with  $L$ , together with a natural contracting morphism

$$\Gamma_X \longrightarrow \Gamma_{{}_L X}.$$

Furthermore, we have a classifying morphism  ${}_L \phi : S \longrightarrow \bar{\mathcal{M}}_g$  determined by  ${}_L \mathcal{X} \longrightarrow S$ .

On the other hand, we have a log scheme  $\downarrow_L S^{\log}$  (resp.  ${}_L S^{\log}$ ) whose underlying scheme is  $S$ , and whose log structure is the log structure obtained by pulling



back the log structure of  $\overline{\mathcal{M}}_g^{\log}$  via  $\backslash_L \phi$  (resp.  ${}_L \phi$ ). Thus, we obtain a stable log curve  $\backslash_L \mathcal{X}^{\log} := \overline{\mathcal{X}}_g^{\log} \times_{\overline{\mathcal{M}}_g^{\log}} \backslash_L S^{\log}$  over  $\backslash_L S^{\log}$  (resp.  ${}_L \mathcal{X}^{\log} := \overline{\mathcal{X}}_g^{\log} \times_{\overline{\mathcal{M}}_g^{\log}} {}_L S^{\log}$  over  ${}_L S^{\log}$ ) whose underlying scheme is  $\backslash_L \mathcal{X}$  (resp.  ${}_L \mathcal{X}$ ). Write

$$\begin{aligned} \overline{\eta}_{\backslash_L X}^{\log} &:= S_{\backslash_L X}^{\log} \times_S \overline{\eta}, & s_X^{\log} &:= S_{\backslash_L X}^{\log} \times_S s \\ (\text{resp. } \overline{\eta}_{{}_L X}^{\log} &:= S_{{}_L X}^{\log} \times_S \overline{\eta}, & s_X^{\log} &:= S_{{}_L X}^{\log} \times_S s), \end{aligned}$$

where we observe that the log schemes  $S_{\backslash_L X}^{\log} \times_S s$  and  $S_{{}_L X}^{\log} \times_S s$  are naturally isomorphic. Thus, we have a natural injection of log fundamental groups as follows:

$$I_{\overline{\eta}_{\backslash_L X}^{\log}} := \pi_1^{\ell}(\overline{\eta}_{\backslash_L X}^{\log}) \cong \bigoplus_{e \in e(\Gamma_{\backslash_L X})} \mathbb{Z}_{\ell}(1)_e \hookrightarrow \pi_1^{\ell}(\backslash_L S^{\log}) \cong I_{s_X^{\log}} := \pi_1^{\ell}(s_X^{\log}) \cong \bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_{\ell}(1)_e,$$

(resp.

$$I_{\overline{\eta}_{{}_L X}^{\log}} := \pi_1^{\ell}(\overline{\eta}_{{}_L X}^{\log}) \cong \bigoplus_{e \in e(\Gamma_{{}_L X})} \mathbb{Z}_{\ell}(1)_e \hookrightarrow \pi_1^{\ell}({}_L S^{\log}) \cong I_{s_X^{\log}} := \pi_1^{\ell}(s_X^{\log}) \cong \bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_{\ell}(1)_e),$$

where the  $\bigoplus_{e \in e(\Gamma_{\backslash_L X})} \mathbb{Z}_{\ell}(1)_e$  (resp.  $\bigoplus_{e \in e(\Gamma_{{}_L X})} \mathbb{Z}_{\ell}(1)_e$ ) maps to the portion of  $\bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_{\ell}(1)_e$  indexed by  $e(\Gamma_{\backslash_L X})$  (resp.  $e(\Gamma_{{}_L X})$ ).

Write  $M_{\backslash_L X}$ ,  $M_{{}_L X}$  and  $M_X$  for the abelianizations of the pro- $\ell$  admissible fundamental groups of  $\backslash_L X$ ,  ${}_L X$  and  $X$ , respectively. By applying the specialization theorem (cf. Proposition 1.1), we obtain a commutative diagram as follows:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & M_{\backslash_L X}^{\text{ver}} & \longrightarrow & M_{{}_L X} & \longrightarrow & M_{{}_L X}^{\text{top}} & \longrightarrow & 0 \\ & & \uparrow & & \uparrow & & \uparrow & & \\ 0 & \longrightarrow & M_X^{\text{ver}} & \longrightarrow & M_X & \longrightarrow & M_X^{\text{top}} & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & M_{\backslash_L X}^{\text{ver}} & \longrightarrow & M_{\backslash_L X} & \longrightarrow & M_{\backslash_L X}^{\text{top}} & \longrightarrow & 0, \end{array}$$

where the morphisms in the middle (resp. on the right-hand side; on the left-hand side) are the isomorphisms induced by the inverses of the respective specialization isomorphisms (resp. surjective morphisms induced by the respective contracting morphisms; injective). From the commutative diagram above, it follows immediately, by considering the respective actions of  $I_{\overline{\eta}_{\backslash_L X}^{\log}} \hookrightarrow I_{s_X^{\log}} \hookrightarrow I_{\overline{\eta}_{{}_L X}^{\log}}$  on the relevant modules in the above commutative diagram, that we obtain the following commutative diagram of pro- $\ell$  period matrix morphisms:

$$\begin{array}{ccc}
I_{\overline{\eta}_{L^X}}^{\log} & \xrightarrow{f_{L^X}} & \mathrm{Hom}(M_{L^X}^{\mathrm{top}} \otimes M_{L^X}^{\mathrm{top}}, \mathbb{Z}_\ell(1)) \\
\downarrow \scriptstyle Li & & \downarrow \scriptstyle Lj \\
I_{s_X}^{\log} & \xrightarrow{f_X} & \mathrm{Hom}(M_X^{\mathrm{top}} \otimes M_X^{\mathrm{top}}, \mathbb{Z}_\ell(1)) \\
\uparrow \scriptstyle \vee L i & & \uparrow \scriptstyle \vee L j \\
I_{\overline{\eta}_{\vee L^X}}^{\log} & \xrightarrow{f_{\vee L^X}} & \mathrm{Hom}(M_{\vee L^X}^{\mathrm{top}} \otimes M_{\vee L^X}^{\mathrm{top}}, \mathbb{Z}_\ell(1)).
\end{array}$$

## 2.2 Degeneration of pro- $\ell$ period matrices

In this subsection, we study the degeneracy of pro- $\ell$  period matrices of stable curves. We continue to use the notation of Section 2.1.

**Definition 2.5.** An element  $a = (a_e)_e \in I_{s_X}^{\log} \cong \bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_\ell(1)$  is called *non-degenerate* if  $a_e \neq 0$  for each  $e \in e(\Gamma_X)$ . A non-degenerate element  $a = (a_e)_e \in I_{s_X}^{\log} \cong \bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_\ell(1)$  is called *positive definite* if, for any  $e_1, e_2 \in e(\Gamma_X)$ , it holds that  $a_{e_1}/a_{e_2} \in \mathbb{Q}_{>0} \subset \mathbb{Q}_\ell^\times$ .

Given a *positive definite* element  $a = (a_e)_e \in I_{s_X}^{\log} \cong \bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_\ell(1)$ , observe that, for a suitable choice of *generator*  $\xi \in \mathbb{Z}_\ell(1)$ , it holds that  $a_e \in \mathbb{N} \cdot \xi$  for each  $e$ . In particular, one verifies immediately that, in the notation of Section 1.1, there exists a morphism  $S_2^{\log} \rightarrow S_1^{\log}$  such that  $a$  is contained in the image of  $\pi_1^\ell(S_2^{\log}) \rightarrow \pi_1^\ell(S_1^{\log}) \cong \pi_1^\ell(s_X^{\log})$ . The pro- $\ell$  period matrix  $f_X(a)$  associated to  $a$  is a *positive definite* matrix (cf. [FC] Chapter III Corollary 7.3, or, alternatively, the explicit computations given in the proof of [FC] Chapter III Theorem 8.3), hence, in particular, *non-degenerate*. The fact that  $f_X(a)$  is non-degenerate may also be regarded as a special case of the Weight Monodromy Conjecture for curves.

If  $a \in I_{s_X}^{\log}$  is an *arbitrary* (i.e., not necessarily positive definite) *non-degenerate* element, then  $f_X(a)$  will not necessarily be a non-degenerate matrix. It is easy to construct a counterexample (for instance, see [HM2] Remark 5.9.2).

**Definition 2.6.** The stable curve  $X$  over  $s := \mathrm{Spec} k$  will be called a *pro- $\ell$  period matrix degenerate curve* if the dual graph  $\Gamma_X$  is not a tree (i.e.,  $r(\Gamma_X) := \mathrm{rank}(\mathrm{H}^1(\Gamma_X, \mathbb{Z})) \neq 0$ ), and, moreover, there exists a non-degenerate element  $a \in I_{s_X}^{\log}$  such that the pro- $\ell$  period matrix  $f_X(a)$  is degenerate.

Next, we prepare for the proof of our main theorem. We begin by observing that for Question 0.1, we can assume without loss of generality that  $X$  is sturdy. More precisely, we have the following lemma.

**Lemma 2.7.** *Let  $X$  be a stable curve over  $k$  of type  $(g_X, 0)$ ,  $\Gamma_X$  the dual graph of  $X$ . Then there exists a sturdy stable curve  $Y$  and a finite morphism  $\psi : Y \rightarrow X$  such that the following two properties hold: (i) the morphism of dual graphs*

$\Gamma_Y \longrightarrow \Gamma_X$  induced by  $\psi$  is an isomorphism; (ii) the pro- $\ell$  period morphisms  $f_Y$  and  $f_X$  fit into the following commutative diagram:

$$\begin{array}{ccc} I_{s_Y^{\log}} \cong \bigoplus_{e \in e(\Gamma_Y)} \mathbb{Z}_\ell(1)_e & \xrightarrow{f_Y} & \text{Hom}(M_Y^{\text{top}} \otimes M_Y^{\text{top}}, \mathbb{Z}_\ell(1)) \\ \ell \downarrow & & \downarrow \\ I_{s_X^{\log}} \cong \bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_\ell(1)_e & \xrightarrow{f_X} & \text{Hom}(M_X^{\text{top}} \otimes M_X^{\text{top}}, \mathbb{Z}_\ell(1)), \end{array}$$

where the vertical arrow on the right-hand side is the isomorphism induced by the isomorphism  $\Gamma_Y \xrightarrow{\sim} \Gamma_X$  of (i), and the vertical arrow on the left-hand side is the morphism determined by multiplying by  $\ell$ .

*Proof.* Let  $v \in v(\Gamma_X)$ . Then we shall write  $X_v$  for the irreducible component of  $X$  associated to  $v$ ,  $n_v : X_v^* \longrightarrow X_v$  for the normalization morphism associated to  $X_v$ ,  $P_v$  for the set

$$n_v^{-1}(X_v \cap \text{Node}(X))$$

of closed points of  $X_v^*$ . In the following, we shall use the notation  $(-)^{\text{cl}}$  to denote the set of closed points of  $(-)$ . Choose a finite nonempty set

$$Q_v \subset X_v^{*\text{cl}}$$

such that  $Q_v \cap P_v = \emptyset$ , and, moreover, the cardinality of the set  $[v] := Q_v \cup P_v$  is a positive even number  $2m_v$ . Thus, we obtain a pointed smooth curve  $(X_v^*, [v])$ , where  $g_{X_v}$  denotes the genus of  $X_v^*$  and  $r_{X_v} = \# [v]$ . For simplicity, we use the notation  $X_{[v]}^\bullet$  to denote the resulting pointed smooth curve.

Recall that the pro- $\ell$  admissible fundamental group of  $X_{[v]}^\bullet$  admits a presentation as follows:

$$\pi_1^{\ell\text{-adm}}(X_{[v]}^\bullet) \cong \langle a_1, \dots, a_{g_{X_v}}, b_1, \dots, b_{g_{X_v}}, \{c_i\}_{i=1, \dots, 2m_v} \mid \prod_t [a_t, b_t] \prod_i c_i = 1 \rangle^\ell,$$

where  $\langle - \rangle^\ell$  denotes the pro- $\ell$  completion of the group  $\langle - \rangle$ . We construct a surjective morphism  $h_v : \pi_1^{\ell\text{-adm}}(X_{[v]}^\bullet) \longrightarrow \mathbb{Z}/\ell\mathbb{Z}$  as follows: for  $t \in \{1, \dots, g_{X_v}\}$ ,  $h_v(a_t) = h_v(b_t) = 0$ ;  $h_v(c_1) = 1, h_v(c_2) = -1, \dots, h_v(c_{2i-1}) = 1, h_v(c_{2i}) = -1, \dots, h_v(c_{2m_v-1}) = 1, h_v(c_{2m_v}) = -1$ . Thus, we obtain a connected  $\mathbb{Z}/\ell\mathbb{Z}$ -admissible covering  $\psi_v : Y_v^\bullet \longrightarrow X_{[v]}^\bullet$  that is totally ramified over all the marked points in  $[v]$  and étale over  $X_v^* \setminus [v]$ . We denote the underlying curve of  $Y_v^\bullet$  by  $Y_v$ .

Write  $Q_X$  for the set  $\bigcup_{v \in v(\Gamma_X)} Q_v$ . Thus, we obtain a pointed stable curve  $X^\bullet := (X, Q_X)$  of type  $(g_X, r_X)$ , where  $r_X = \# Q_X$ . By gluing the  $\{Y_v\}_v$  along the set of closed points  $\bigcup_{v \in v(\Gamma_X)} \psi_v^{-1}(P_v)$  in a fashion that is compatible with the gluing of the  $\{X_v\}_v$  that gives rise to  $X$ , we obtain a stable curve  $Y$  over  $s$ . Write  $Q_Y$  for the set  $\bigcup_{v \in v(\Gamma_X)} \psi_v^{-1}(Q_v)$ . Thus, we obtain a new pointed stable curve  $Y^\bullet := (Y, Q_Y)$  of type  $(g_Y, r_Y)$ , where  $g_Y := \dim_k H^1(Y, \mathcal{O}_Y)$  and  $r_Y = \# Q_Y = \# Q_X = r_X$ , together with an admissible covering  $\psi' : Y^\bullet \longrightarrow X^\bullet$ .

It follows from the construction of  $Y$  and the Hurwitz formula that  $Y$  is sturdy, and, moreover, that the morphism of dual graphs  $\Gamma_Y \rightarrow \Gamma_X$  induced by  $\psi'$  is an isomorphism.

On the other hand, we have a morphism from  $s$  to the moduli stack  $\overline{\mathcal{M}}_{g_X, r_X}$  (resp.  $\overline{\mathcal{M}}_{g_Y, r_Y}$ ) determined by  $X \rightarrow s$  (resp.  $Y \rightarrow s$ ). By pulling back the log structure of  $\overline{\mathcal{X}}_{g_X, r_X}^{\log}$  and  $\overline{\mathcal{M}}_{g_X, r_X}^{\log}$  (resp.  $\overline{\mathcal{X}}_{g_Y, r_Y}^{\log}$  and  $\overline{\mathcal{M}}_{g_Y, r_Y}^{\log}$ ) to  $X$  and  $s$  (resp.  $Y$  and  $s$ ), respectively, we obtain a stable log curve  $X^{\bullet \log} \rightarrow s_X^{\log}$  (resp.  $Y^{\bullet \log} \rightarrow s_Y^{\log}$ ). One verifies immediately that the log scheme  $s_X^{\log}$  (resp.  $s_Y^{\log}$ ) admits a chart  $(\text{Spec } k, \mathbb{N}^r)$  (resp.  $(\text{Spec } k, \frac{1}{\ell} \cdot \mathbb{N}^r)$ ), where  $r = \#e(\Gamma_X)$  (resp.  $r = \#e(\Gamma_Y)$ ). Thus, it follows from [Moc1] Section 3.9 that the admissible covering  $\psi$  determines a commutative diagram as follows:

$$\begin{array}{ccccc} Y^{\bullet \log} & \longrightarrow & X_\ell^{\bullet \log} := X^{\bullet \log} \times_{s_X^{\log}} s_Y^{\log} & \longrightarrow & X^{\bullet \log} \\ \downarrow & & \downarrow & & \downarrow \\ s_Y^{\log} & \xlongequal{\quad} & s_Y^{\log} & \longrightarrow & s_X^{\log}, \end{array}$$

where, for a suitable choice of charts for  $s_X^{\log}$  and  $s_Y^{\log}$ , the morphism of log structures induced by the morphism  $s_Y^{\log} \rightarrow s_X^{\log}$  may be described as the morphism of log structures induced by the morphism of charts determined by the morphism of monoids  $\mathbb{N}^r \rightarrow \frac{1}{\ell} \cdot \mathbb{N}^r$  such that  $(0, \dots, 0, 1, 0, \dots, 0) \mapsto (0, \dots, 0, 1, 0, \dots, 0)$ , and  $Y^{\bullet \log} \rightarrow X_\ell^{\bullet \log}$  is the log admissible covering determined by the admissible covering  $\psi'$ .

Next, write  $M_{X^\bullet}, M_{Y^\bullet}$  (resp.  $M_X, M_Y$ ) for the abelianizations of the pro- $\ell$  admissible fundamental groups of  $X^\bullet, Y^\bullet$  (resp.  $X, Y$ ), respectively. Then we obtain a commutative diagram as follows (cf. Remark 2.3.1):

$$\begin{array}{ccccccc} 0 & \longrightarrow & M_{Y^\bullet}^{\text{ver}} & \longrightarrow & M_{Y^\bullet} & \longrightarrow & M_{Y^\bullet}^{\text{top}} \longrightarrow 0 \\ & & \downarrow & & \psi'_M \downarrow & & \downarrow \\ 0 & \longrightarrow & M_{X^\bullet}^{\text{ver}} & \longrightarrow & M_{X^\bullet} & \longrightarrow & M_{X^\bullet}^{\text{top}} \longrightarrow 0, \end{array}$$

where  $\psi'_M$  denotes the morphism induced by the admissible covering  $\psi'$ . By forgetting the marked points  $Q_Y$  and  $Q_X$ , we conclude that  $\psi'$  determines a finite morphism  $\psi : Y \rightarrow X$ . Moreover, there is a natural surjection  $M_{Y^\bullet} \rightarrow M_Y$  (resp.  $M_{X^\bullet} \rightarrow M_X$ ) whose kernel is  $M_{Y^\bullet}^{\text{cusp}}$  (resp.  $M_{X^\bullet}^{\text{cusp}}$ ) (cf. Remark 2.3.1). Note that the image  $\psi'_M(M_{Y^\bullet}^{\text{cusp}})$  is contained in  $M_{X^\bullet}^{\text{cusp}}$ , so we obtain a commutative diagram by passing to quotients as follows:

$$\begin{array}{ccccccc} 0 & \longrightarrow & M_Y^{\text{ver}} & \longrightarrow & M_Y & \longrightarrow & M_Y^{\text{top}} \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & M_X^{\text{ver}} & \longrightarrow & M_X & \longrightarrow & M_X^{\text{top}} \longrightarrow 0. \end{array}$$

Since this commutative diagram is compatible with the actions of  $I_{s_Y^{\log}} := \pi_1^\ell(s_Y^{\log}) \longrightarrow I_{s_X^{\log}} := \pi_1^\ell(s_X^{\log})$ , the pro- $\ell$  period matrix morphisms associated to  $X$  and  $Y$  fit into a commutative diagram

$$\begin{array}{ccc} I_{s_Y^{\log}} \cong \bigoplus_{e \in e(\Gamma_Y)} \mathbb{Z}_\ell(1)_e & \xrightarrow{f_Y} & \text{Hom}(M_Y^{\text{top}} \otimes M_Y^{\text{top}}, \mathbb{Z}_\ell(1)) \\ \ell \downarrow & & \downarrow \\ I_{s_X^{\log}} \cong \bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_\ell(1)_e & \xrightarrow{f_X} & \text{Hom}(M_X^{\text{top}} \otimes M_X^{\text{top}}, \mathbb{Z}_\ell(1)), \end{array}$$

where the arrow on the right-hand side is the isomorphism induced by the isomorphism  $\Gamma_Y \xrightarrow{\sim} \Gamma_X$ , and the arrow on the left-hand side is the morphism determined by multiplying by  $\ell$ . This completes the proof of the lemma.  $\square$

**Definition 2.8.** Let  $X$  be a stable curve over  $k$ ,  $\Gamma_X$  the dual graph of  $X$ . For any edge  $e \in e(\Gamma_X)$ , write  $v(e)$  for the set of vertices which abut to  $e$ . Write

$$e^\circ(\Gamma_X) := \{e \in e(\Gamma_X) \mid \#v(e) = 1\}$$

for the set of edges which form loops of  $\Gamma_X$ . Since  $\#v(e) = 2$  for each  $e \in e(\Gamma_X) \setminus e^\circ(\Gamma_X)$ , we shall refer to the subgraph  $\Gamma_X^\circ := \Gamma_X \setminus e^\circ(\Gamma_X)$  as the *maximal untangled subgraph* of  $\Gamma_X$ .

**Theorem 2.9.** *Let  $X$  be a stable curve over  $k$ ,  $\Gamma_X$  the dual graph of  $X$ . Then  $X$  is a pro- $\ell$  period matrix degenerate curve if and only if the maximal untangled subgraph  $\Gamma_X^\circ$  of  $\Gamma_X$  is not a tree (i.e.,  $r(\Gamma_X^\circ) := \text{rank}(\text{H}^1(\Gamma_X^\circ, \mathbb{Z})) \neq 0$ ).*

*Proof.* By Lemma 2.7, we can assume that  $X$  is sturdy.

If  $\Gamma_X$  is a tree, then by definition,  $X$  is not a pro- $\ell$  period matrix degenerate curve. Hence, we can assume that  $\Gamma_X$  is not a tree.

First, let us prove the “only if” portion of the theorem. Write  $L := e^\circ(\Gamma_X)$ . Let  $R$  be a complete discrete valuation ring with residue field  $k$ ,  $\bar{K}$  an algebraic closure of the quotient field  $K$  of  $R$ . By applying Remark 2.4.2, we can deform the stable curve  $X$  along  $L$  (resp.  $e(\Gamma_X) \setminus L$ ) so as to obtain a new stable curve  ${}_{\setminus L}X$  (resp.  ${}_L X$ ) over  $\bar{K}$  such that the set of edges  $e(\Gamma_{\setminus L}X)$  (resp.  $e(\Gamma_L X)$ ) of the associated dual graph may be identified with  $e(\Gamma_X) \setminus L$  (resp.  $L$ ).

It is easy to see that the restriction of the contracting morphism  $\Gamma_X \longrightarrow \Gamma_{\setminus L}X$  to  $\Gamma_X^\circ$  is an isomorphism. Suppose that  $\Gamma_X^\circ$  is a tree. Thus, the rank of  $\Gamma_{\setminus L}X$  is 0. By applying Remark 2.4.2, we obtain a commutative diagram of pro- $\ell$  period matrix morphisms  $f_X, f_{\setminus L}X, f_L X$  as follows:

$$\begin{array}{ccc}
I_{\bar{\eta}_{\setminus L X}^{\log}} \cong \bigoplus_{e \in e(\Gamma_X) \setminus L} \mathbb{Z}_\ell(1)_e & \xrightarrow{f_{\setminus L X}} & 0 \\
\setminus L i \downarrow & & \setminus L j \downarrow \\
I_{s_X^{\log}} \cong (\bigoplus_{e \in e(\Gamma_X) \setminus L} \mathbb{Z}_\ell(1)_e) \oplus (\bigoplus_{e \in L} \mathbb{Z}_\ell(1)_e) & \xrightarrow{f_X} & \text{Hom}(M_X^{\text{top}} \otimes M_X^{\text{top}}, \mathbb{Z}_\ell(1)) \\
L i \uparrow & & L j \uparrow \\
I_{\bar{\eta}_{L X}^{\log}} \cong \bigoplus_{e \in L} \mathbb{Z}_\ell(1)_e & \xrightarrow{f_{L X}} & \text{Hom}(M_{L X}^{\text{top}} \otimes M_{L X}^{\text{top}}, \mathbb{Z}_\ell(1)),
\end{array}$$

where  $Lj$  is induced by the contracting morphism  $\Gamma_X \rightarrow \Gamma_{LX}$ . Moreover,  $Lj$  is an isomorphism. Thus, it follows immediately from this commutative diagram that, by replacing  $X$  by  $LX$ , we may assume without loss of generality that  $X = LX$ .

Let  $l \in e(\Gamma_X)$ . Then we can also deform the stable curve  $X$  along  $e(\Gamma_X) \setminus \{l\}$ . This yields a stable curve  ${}_l X$  whose set of nodes is  $\{l\}$ , together with a commutative diagram of pro- $\ell$  period matrix morphisms  $f_{{}_l X}$ ,  $f_X$  as follows:

$$\begin{array}{ccc}
I_{\bar{\eta}_{{}_l X}^{\log}} \cong \mathbb{Z}_\ell(1)_l & \xrightarrow{f_{{}_l X}} & \text{Hom}(M_{{}_l X}^{\text{top}} \otimes M_{{}_l X}^{\text{top}}, \mathbb{Z}_\ell(1)) \cong \mathbb{Z}_\ell(1) \\
i i \downarrow & & i j \downarrow \\
I_{s_X^{\log}} \cong (\bigoplus_{e \in e(\Gamma_X) \setminus \{l\}} \mathbb{Z}_\ell(1)_e) \oplus \mathbb{Z}_\ell(1)_l & \xrightarrow{f_X} & \text{Hom}(M_X^{\text{top}} \otimes M_X^{\text{top}}, \mathbb{Z}_\ell(1)).
\end{array}$$

Furthermore, we have  $M_X^{\text{top}} \cong \bigoplus_{e \in e(\Gamma_X)} M_{e X}^{\text{top}}$ . Then for any non-degenerate element  $a = (a_e)_e \in \bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_\ell(1)_e$ , we have a quadratic form

$$h_X := f_X(a) = \sum_{e \in e(\Gamma_X)} h_{e X},$$

where we write  $h_{e X} := {}_e j(f_{e X}(a_e))$ . Since  $h_{e X}$  restricts to a non-degenerate form on  $M_{e X}^{\text{top}}$  and to 0 on  $\bigoplus_{e' \in e(\Gamma_X) \setminus \{e\}} M_{e' X}^{\text{top}}$ , it follows that  $h_X$  is a non-degenerate quadratic form. That is to say,  $X$  is not a pro- $\ell$  period matrix degenerate curve. This completes the proof of the “only if” part of the theorem.

Next, let us prove the “if” part of the theorem. Let  $R$  be a complete discrete valuation ring with residue field  $k$ ,  $\bar{K}$  an algebraic closure of the quotient field  $K$  of  $R$ . Since  $\Gamma_X^\circ$  is not a tree, one verifies immediately there exists an element  $l \in e(\Gamma_X^\circ)$  such that  $l$  is *not of separating type* (cf. [HM2, Definition 2.5 (i)]). By applying Remark 2.4.2, we can deform the stable curve  $X$  along  $l$  (resp.  $e(\Gamma_X) \setminus \{l\}$ ) so as to obtain a stable curve  $\setminus l X$  (resp.  ${}_l X$ ) over  $\bar{K}$  such that the set of edges of the associated dual graph may be identified with  $e(\Gamma_X) \setminus \{l\}$  (resp.  $l$ ). One verifies immediately that since  $l$  is not of separating type, it follows that  $l$ , regarded as an element of  $e(\Gamma_{{}_l X})$ , is a *loop*, and hence that the rank of  $M_{{}_l X}^{\text{top}}$  is 1. Let us consider the pro- $\ell$  period matrix morphisms of  $\setminus l X$

and  ${}_lX$  with  $\mathbb{Q}_\ell$ -coefficients. By applying Remark 2.4.2, after tensoring with  $\mathbb{Q}_\ell$ , we obtain a commutative diagram of pro- $\ell$  period matrix morphisms of  $X, {}_lX$  and  $\backslash_lX$  over  $\mathbb{Q}_\ell$  as follows:

$$\begin{array}{ccc}
I_{\overline{\eta}_l^{\log}} \otimes \mathbb{Q}_\ell(1) \cong \mathbb{Q}_\ell(1)_l & \xrightarrow{f_{{}_lX}^{\mathbb{Q}_\ell}} & \mathrm{Hom}(M_{{}_lX}^{\mathrm{top}} \otimes M_{{}_lX}^{\mathrm{top}}, \mathbb{Z}_\ell(1)) \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell \\
\downarrow \iota_i^{\mathbb{Q}_\ell} & & \downarrow \iota_j^{\mathbb{Q}_\ell} \\
I_{s_X^{\log}} \otimes \mathbb{Q}_\ell(1) \cong \mathbb{Q}_\ell(1)_l \oplus \left( \bigoplus_{e \in e(\Gamma_X) \setminus \{l\}} \mathbb{Q}_\ell(1)_e \right) & \xrightarrow{f_X^{\mathbb{Q}_\ell}} & \mathrm{Hom}(M_X^{\mathrm{top}} \otimes M_X^{\mathrm{top}}, \mathbb{Z}_\ell(1)) \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell \\
\uparrow \backslash \iota_i^{\mathbb{Q}_\ell} & & \uparrow \backslash \iota_j^{\mathbb{Q}_\ell} \\
I_{\overline{\eta}_{\backslash_l X}^{\log}} \otimes \mathbb{Q}_\ell(1) \cong \bigoplus_{e \in e(\Gamma_X) \setminus \{l\}} \mathbb{Q}_\ell(1)_e & \xrightarrow{f_{\backslash_l X}^{\mathbb{Q}_\ell}} & \mathrm{Hom}(M_{\backslash_l X}^{\mathrm{top}} \otimes M_{\backslash_l X}^{\mathrm{top}}, \mathbb{Z}_\ell(1)) \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell,
\end{array}$$

where  $f_{{}_lX}^{\mathbb{Q}_\ell}$  (resp.  $\backslash \iota_j^{\mathbb{Q}_\ell}$ ) is an isomorphism (resp. the natural isomorphism induced by the isomorphism  $M_X^{\mathrm{top}} \xrightarrow{\sim} M_{\backslash_l X}^{\mathrm{top}}$ ). By applying the commutative diagram above, for any element  $a := (a_l, (a_e)_{e \neq l}) \in \mathbb{Q}_\ell(1)_l \oplus \left( \bigoplus_{e \neq l} \mathbb{Q}_\ell(1)_e \right)$ , we obtain a quadratic form  $h_X := f_X^{\mathbb{Q}_\ell}(a)$  on  $M_X^{\mathrm{top}}$ :

$$h_X = h_{{}_lX}|_{M_X^{\mathrm{top}} \otimes M_X^{\mathrm{top}}} + h_{\backslash_l X},$$

where we write  $h_{{}_lX}$  (resp.  $h_{{}_lX}|_{M_X^{\mathrm{top}} \otimes M_X^{\mathrm{top}}}$ ,  $h_{\backslash_l X}$ ) for the quadratic form  $f_{{}_lX}^{\mathbb{Q}_\ell}(a_l)$  (resp.  $\iota_j^{\mathbb{Q}_\ell}(f_{{}_lX}^{\mathbb{Q}_\ell}(a_l))$ ,  $\backslash \iota_j^{\mathbb{Q}_\ell}(f_{\backslash_l X}^{\mathbb{Q}_\ell}((a_e)_{e \in e(\Gamma_{\backslash_l X})}))$ ) on  $M_{{}_lX}^{\mathrm{top}}$  (resp.  $M_X^{\mathrm{top}}$ ,  $M_X^{\mathrm{top}}$ ).

Write  $p_l$  for the node of  $X$  corresponding to  $l$ ,  $X_l$  for the stable curve obtained from the (sturdy) stable curve  $X$  by normalizing at  $p_l$ , and  $\Gamma_{X_l}$  for the dual graph of  $X_l$ . Note that since  $l$  is not of separating type,  $\Gamma_{X_l}$  may be regarded as a subgraph of  $\Gamma_X$  whose rank is  $r(\Gamma_X) - 1$ . By applying Remark 2.4.1, we have a commutative diagram of pro- $\ell$  period matrix morphisms of  $X_l$  and  $X$  over  $\mathbb{Q}_\ell$  as follows:

$$\begin{array}{ccc}
\mathbb{Q}_\ell(1)_l \oplus \left( \bigoplus_{e \in e(\Gamma_X) \setminus \{l\}} \mathbb{Q}_\ell(1)_e \right) & \xrightarrow{f_X^{\mathbb{Q}_\ell}} & \mathrm{Hom}(M_X^{\mathrm{top}} \otimes M_X^{\mathrm{top}}, \mathbb{Z}_\ell(1)) \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell \\
\downarrow u_i^{\mathbb{Q}_\ell} & & \downarrow v_i^{\mathbb{Q}_\ell} \\
\bigoplus_{e \in e(\Gamma_X) \setminus \{l\}} \mathbb{Q}_\ell(1)_e & \xrightarrow{f_{X_l}^{\mathbb{Q}_\ell}} & \mathrm{Hom}(M_{X_l}^{\mathrm{top}} \otimes M_{X_l}^{\mathrm{top}}, \mathbb{Z}_\ell(1)) \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell.
\end{array}$$

On the other hand, it follows immediately from the structure of the graphs  $\Gamma_X$ ,  $\Gamma_{{}_lX}$ , and  $\Gamma_{X_l}$  that we have a natural exact sequence as follows:

$$0 \longrightarrow M_{X_l}^{\mathrm{top}} \longrightarrow M_X^{\mathrm{top}} \longrightarrow M_{{}_lX}^{\mathrm{top}} \longrightarrow 0.$$

Thus, we obtain a quadratic form  $h_{X_l} := f_{X_l}^{\mathbb{Q}_\ell}((a_e)_{e \in e(\Gamma_X \setminus \{l\})})$  which is equal to the quadratic form given by the restricted forms  $h_X|_{M_{X_l}^{\mathrm{top}} \otimes M_{X_l}^{\mathrm{top}}} = h_{\backslash_l X}|_{M_{X_l}^{\mathrm{top}} \otimes M_{X_l}^{\mathrm{top}}}$ .

Write

$$\begin{aligned} \det(h_X) &\in \bigwedge \check{M}_X^{\text{top}} \otimes \bigwedge \check{M}_X^{\text{top}} \\ (\text{resp. } \det(h_{\setminus i X}) &\in \bigwedge \check{M}_X^{\text{top}} \otimes \bigwedge \check{M}_X^{\text{top}}, \\ \det(h_{X_i}) &\in \bigwedge \check{M}_{X_i}^{\text{top}} \otimes \bigwedge \check{M}_{X_i}^{\text{top}}, \\ \det(h_{iX}) &\in \bigwedge \check{M}_{iX}^{\text{top}} \otimes \bigwedge \check{M}_{iX}^{\text{top}}, \end{aligned}$$

for the determinants associated to the quadratic forms introduced above.

If  $\Gamma_{\setminus i X}$  and  $\Gamma_{X_i}$  are *not trees*, then the rank of  $M_X^{\text{top}}$  is  $\geq 2$ . Here, we follow the notational conventions of the discussion preceding Lemma 2.10 below. Then, by applying Lemma 2.10 to  $h_X = h_{\setminus i X} + h_{iX}|_{M_X^{\text{top}} \otimes M_X^{\text{top}}}$ , we obtain that

$$\det(h_X) = \det(h_{\setminus i X}) + \det(h_{X_i}) \wedge \det(h_{iX}).$$

Let us take  $(a_e)_{e \neq i} \in \bigoplus_{e \neq i} \mathbb{Q}_\ell(1)_e$  to be positive definite and  $a_i \in \mathbb{Q}_\ell(1)_i$  to be arbitrary. This implies that the quadratic forms  $h_{\setminus i X}$  and  $h_{X_i}$  are positive definite (cf. [FC] Chapter III Corollary 7.3). Hence, in particular,  $\det(h_{\setminus i X})$  and  $\det(h_{X_i})$  are  $\neq 0$  and, moreover, (by definition) independent of the choice of  $a_i$ . Thus, since the pro- $\ell$  period matrix morphism  $f_{iX}^{\mathbb{Q}_\ell}$  is an isomorphism, we may modify  $a_i \in \mathbb{Q}_\ell(1)_i$  (which determines  $\det(h_{iX}) = f_{iX}^{\mathbb{Q}_\ell}(a_i)$ ) so that

$$f_X^{\mathbb{Q}_\ell}((a_i, (a_e)_{e \neq i})) = \det(h_X) = \det(h_{\setminus i X}) + \det(h_{X_i}) \wedge \det(h_{iX}) = 0.$$

Finally, by clearing denominators, we conclude that we may choose a non-degenerate element

$$(a_i'', (a_e'')_{e \neq i}) \in \bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_\ell(1)$$

such that the quadratic form  $f_X((a_i'', (a_e'')_{e \neq i}))$  is degenerate. This completes the proof of the theorem in the case under consideration.

If  $\Gamma_{X_i}$  is a *tree*, then  $M_{X_i}^{\text{top}}$  is 0, so  $M_X^{\text{top}} \cong M_{iX}^{\text{top}} \cong M_{\setminus i X}^{\text{top}}$  is of rank 1. Then, by applying Lemma 2.10 to  $h_X = h_{\setminus i X} + h_{iX}|_{M_X^{\text{top}} \otimes M_X^{\text{top}}}$ , we obtain that

$$\det(h_X) = \det(h_{\setminus i X}) + \det(h_{iX}|_{M_X^{\text{top}} \otimes M_X^{\text{top}}}) \in \check{M}_X^{\text{top}} \otimes \check{M}_X^{\text{top}}.$$

Let us take  $(a_e)_{e \neq i} \in \bigoplus_{e \neq i} \mathbb{Q}_\ell(1)_e$  and  $a_i \in \mathbb{Q}_\ell(1)_i$  to be positive definite. This implies that  $\det(h_{\setminus i X})$  and  $\det(h_{iX}|_{M_X^{\text{top}} \otimes M_X^{\text{top}}})$  are non-zero (cf. [FC] Chapter III Corollary 7.3). Since  $\det(h_{\setminus i X})$  is (by definition) independent of the choice of  $a_i$ , we can modify  $a_i \in \mathbb{Q}_\ell(1)_i$  (which determines  $\det(h_{iX}|_{M_X^{\text{top}} \otimes M_X^{\text{top}}}) = i^{\mathbb{Q}_\ell}(f_{iX}^{\mathbb{Q}_\ell}(a_i))$ ) so that  $\det(h_X) = 0$ . Finally, by clearing denominators, we conclude that we may choose a non-degenerate element

$$(a_i'', (a_e'')_{e \neq i}) \in \bigoplus_{e \in e(\Gamma_X)} \mathbb{Z}_\ell(1)$$



such that the quadratic form  $h_X$  is degenerate.

If  $\Gamma_{\setminus X}$  is a *tree*, then  $\Gamma_X$ , hence also  $\Gamma_X^\circ$ , is a tree. This contradicts our assumption that  $\Gamma_X^\circ$  is not a tree. This completes the proof of the theorem.  $\square$

Let  $W$  be a  $n$ -dimensional vector space over a field  $k_W$ ,  $Q : W \otimes W \rightarrow k_W$  a quadratic form on  $W$ . Then  $Q$  induces a morphism  $W \rightarrow \check{W}$  from  $W$  to the dual space  $\check{W} := \text{Hom}(W, k_W)$ . Thus, by forming  $n$ -th exterior powers, we obtain a natural morphism

$$\det_Q : k_W \rightarrow \bigwedge^n \check{W} \otimes \bigwedge^n \check{W}.$$

We use the notation

$$\det(Q) \in \bigwedge^n \check{W} \otimes \bigwedge^n \check{W}$$

to denote  $\det_Q(1)$ . We have a lemma as follows.

**Lemma 2.10.** *Let  $0 \rightarrow V_1 \rightarrow V_0 \rightarrow V_2 \rightarrow 0$  be an exact sequence of vector spaces over a field  $k_V$ . Suppose that  $\dim(V_0) =: n \geq 1$  (resp.  $\dim(V_1) = n - 1$ ,  $\dim(V_2) = 1$ ). Let  $A_0^1, A_0^2 \in \text{Hom}(V_0 \otimes V_0, k_V)$  (resp.  $A_1 \in \text{Hom}(V_1 \otimes V_1, k_V)$ ,  $A_2 \in \text{Hom}(V_2 \otimes V_2, k_V)$ ) be two symmetric quadratic forms on  $V_0$  (resp. a quadratic form on  $V_1$ , a quadratic form on  $V_2$ ). Write  $A_2|_{V_0 \otimes V_0}$  for the quadratic form on  $V_0$  obtained by composing  $A_2$  with the surjection  $V_0 \otimes V_0 \rightarrow V_2 \otimes V_2$  induced by the given surjection  $V_0 \rightarrow V_2$ . Furthermore, we suppose that the following conditions are satisfied: (i)  $A_0^1|_{V_1 \otimes V_1} = A_1$ ; (ii)  $A_0^2 = A_2|_{V_0 \otimes V_0}$  (so  $A_0^2|_{V_1 \otimes V_1} = 0$ ). Let  $A_0 := A_0^1 + A_0^2$ . Then we have*

$$\det(A_0) = \det(A_0^1) + \det(A_0^2), \quad \text{if } n = 1;$$

$$\det(A_0) = \det(A_0^1) + \det(A_1) \wedge \det(A_2), \quad \text{if } n \geq 2.$$

*Proof.* Choose a basis of  $V_0$  that extends a basis of  $V_1$ . Then the lemma follows from an elementary matrix computation.  $\square$

### 2.3 Relationship with the Weight Monodromy Conjecture

In this subsection, we explain the relationship between Theorem 2.9 and the Weight Monodromy Conjecture for curves.

Let  $K$  be a  $p$ -adic local field (i.e., a finite extension of  $\mathbb{Q}_p$ ),  $\bar{K}$  an algebraic closure of  $K$ ,  $R$  the ring of integers of  $K$ ,  $k$  the residue field of  $R$ ,  $\bar{R}^{\text{unr}}$  the integral closure of  $R$  in the maximal unramified extension of  $K$  in  $\bar{K}$ ,  $\bar{k}$  the residue field of  $\bar{R}^{\text{unr}}$ . Let  $X$  be a projective hyperbolic curve over  $K$  of genus  $g$ . Suppose that  $X$  admits a stable model  $\mathcal{X}_R$  over  $R$ . Write  $X_{\bar{K}}$  (resp.  $X_k, X_{\bar{k}}$ ) for the geometric generic fiber (resp. special fiber, geometric special fiber) of  $\mathcal{X}_R$ . On the other hand, the reduction curve  $X_{\bar{k}} \rightarrow \text{Spec } \bar{k}$  determines a classifying morphism  $\text{Spec } \bar{k} \rightarrow \overline{\mathcal{M}}_g$ . Write  $s_{X_{\bar{k}}}^{\log}$  for the log scheme whose underlying scheme is  $\text{Spec } \bar{k}$  and the log structure is the pull-back log structure of  $\overline{\mathcal{M}}_g^{\log}$ .

Write  $M_{X_{\overline{K}}}$  and  $M_{X_{\overline{k}}}$  for the respective abelianizations of the pro- $\ell$  admissible fundamental groups  $\pi_1^{\ell\text{-adm}}(X_{\overline{K}})$  and  $\pi_1^{\ell\text{-adm}}(X_{\overline{k}})$  (cf. the discussion immediately preceding Proposition 1.3). Note that there is a natural isomorphism  $M_{\overline{K}} \cong M_{\overline{k}}$  induced by the specialization morphism of the pro- $\ell$  admissible fundamental groups  $\pi_1^{\ell\text{-adm}}(X_{\overline{K}})$  and  $\pi_1^{\ell\text{-adm}}(X_{\overline{k}})$  (cf. Proposition 1.1). Recall the natural exact sequence

$$1 \longrightarrow I_K \longrightarrow G_K \longrightarrow G_k \longrightarrow 1.$$

By the  $\ell$ -adic cohomology criterion for stable reduction of curves (cf. [DM] Theorem 2.4 and [BLR] Theorem 7.4.6), the action of the inertia group  $I_K$  of  $G_K$  on  $W$  is unipotent. Thus, any lifting to  $G_K$  of the Frobenius element  $\in G_k$  determines a filtration (corresponding to weights  $\geq 2, \geq 1, \geq 0$ ), which is called the *weight filtration*, and which does not depend on the choice of the lifting, as follows:

$$0 \subseteq W_2 \subseteq W_1 \subseteq W. \quad (*)$$

Since the action of the inertia group  $I_K$  of  $G_K$  on  $W$  is unipotent, the action of  $I_K$  factors through the maximal pro- $\ell$  quotient of  $I_K$ , which we denote by  $I_K^\ell$ . Write

$$\rho_{I_K^\ell}^\ell : I_K^\ell \longrightarrow \text{GL}(W)$$

for the resulting Galois representation. Since the action of  $I_K^\ell$  on  $W$  is unipotent, for any generator  $a$  of  $I_K^\ell$ , there exists a uniquely determined monodromy operator  $N_a : W \longrightarrow W$  such that  $\rho_{I_K^\ell}^\ell(a) = \exp(N_a)$ . Note that by the discussion at the beginning of Section 2.2,  $a$  induces a positive definite element  $\tilde{a} \in \pi_1^\ell(s_{X_{\overline{k}}}^{\log})$ .

On the other hand, for the geometric special fiber  $X_{\overline{k}}$ , we have the following filtration defined in Section 2.1:

$$0 \subseteq M_{X_{\overline{k}}}^{\text{edge}} \otimes \mathbb{Q}_\ell \subseteq M_{X_{\overline{k}}}^{\text{ver}} \otimes \mathbb{Q}_\ell \subseteq M_{X_{\overline{k}}} \otimes \mathbb{Q}_\ell \cong W. \quad (**)$$

Since  $M_{X_{\overline{k}}}^{\text{edge}}$  is isomorphic to a direct sum of copies of  $\mathbb{Z}_\ell(1)$ , the weight of  $M_{X_{\overline{k}}}^{\text{edge}}$  is equal to 2. Furthermore, by applying Proposition 2.1 and the Weil conjecture for abelian varieties, the weight of  $M_{X_{\overline{k}}}^{\text{ver}}/M_{X_{\overline{k}}}^{\text{edge}}$  is equal to 1. Since  $M_{X_{\overline{k}}}/M_{X_{\overline{k}}}^{\text{ver}} \cong M_{X_{\overline{k}}}^{\text{top}}$  (cf. the discussion at the beginning of Section 2.1), the weight of  $M_{X_{\overline{k}}}/M_{X_{\overline{k}}}^{\text{ver}}$  is 0. Thus, the filtration (\*) coincides with the filtration (\*\*). Since any connected étale covering of the geometric special fiber  $X_{\overline{k}}$  lifts uniquely to an étale covering of  $\mathcal{X}_R \times_{\text{Spec } R} \text{Spec } \overline{R}^{\text{unr}}$  whose domain is a stable curve over  $\text{Spec } \overline{R}^{\text{unr}}$ , the action of  $I_K^\ell$  on  $W/W_2 \cong M_{X_{\overline{k}}}/M_{X_{\overline{k}}}^{\text{edge}} \otimes \mathbb{Q}_\ell \cong \text{Hom}(M_{X_{\overline{k}}}^{\text{ver}}, \mathbb{Z}_\ell(1)) \otimes \mathbb{Q}_\ell \cong \text{Hom}(W_1, \mathbb{Q}_\ell(1))$  (where the second isomorphism is the isomorphism arising from Poincaré duality discussed at the beginning of the proof of Proposition 2.2) is trivial, so we have  $(\rho_{I_K^\ell}^\ell(a) - 1)^2 = 0$ . Since  $\rho_{I_K^\ell}^\ell(a) - 1$  may be written as the product of  $N_a$  with an invertible matrix that commutes with  $N_a$ , this implies that  $N_a^2 = 0$ ,  $\text{Im}(N_a) \subseteq W_2 \subseteq W_1 \subseteq \text{Ker}(N_a)$ . Thus, we

obtain a monodromy filtration associated to  $a$  as follows (cf. [Del] Proposition 1.6.1):

$$0 \subseteq \text{Im}(N_a) \subseteq \text{Ker}(N_a) \subseteq W.$$

Write  $\overline{N}_a$  for the isomorphism  $W/\text{Ker}(N_a) \xrightarrow{\sim} \text{Im}(N_a)$  induced by  $N_a$ . Thus,  $\text{rank}(\overline{N}_a) = \dim_{\mathbb{Q}_\ell}(W/\text{Ker}(N_a)) = \dim_{\mathbb{Q}_\ell}(\text{Im}(N_a)) = \text{rank}(f_{X_{\overline{k}}}(\tilde{a}))$ , where  $f_{X_{\overline{k}}}(\tilde{a})$  is the period matrix associated to  $\tilde{a}$ , and

$$\dim_{\mathbb{Q}_\ell}(M_{X_{\overline{k}}}^{\text{top}} \otimes \mathbb{Q}_\ell) = \dim_{\mathbb{Q}_\ell}(W/W_1) = \dim_{\mathbb{Q}_\ell}(W_2),$$

where the equalities follow from the discussion at the beginning of the proof of Proposition 2.2. The Weight Monodromy Conjecture asserts that the weight filtration coincides with the monodromy filtration associated to  $a$ . To prove this assertion, let us first recall that by Faltings-Chai's theory,  $f_{X_{\overline{k}}}(\tilde{a})$  is non-degenerate. Thus, we have  $\text{rank}(\overline{N}_a) = \text{rank}(f_X(\tilde{a})) = \dim_{\mathbb{Q}_\ell}(M_{X_{\overline{k}}}^{\text{top}} \otimes \mathbb{Q}_\ell) = \dim_{\mathbb{Q}_\ell}(W/W_1) = \dim_{\mathbb{Q}_\ell}(W_2)$ . These equalities, together with the inclusions  $\text{Im}(N_a) \subseteq W_2 \subseteq W_1 \subseteq \text{Ker}(N_a)$ , imply that  $W_1 = \text{Ker}(N_a)$  and  $W_2 = \text{Im}(N_a)$ . Thus, the Weight Monodromy Conjecture for curves holds.

On the other hand, let us consider the action of  $\pi_1^\ell(s_{X_{\overline{k}}}^{\text{log}})$  on  $W$  induced by the homotopy exact sequence of pro- $\ell$  log étale fundamental groups of stable log curves (cf. Corollary 1.2). Moreover, by the  $\ell$ -adic cohomology criterion for stable reduction, this action is unipotent. For any non-degenerate element  $b$  in  $\pi_1^\ell(s_{X_{\overline{k}}}^{\text{log}})$ , by applying similar arguments to the arguments discussed above, we can define a monodromy operator  $N_b$  associated to  $b$  such that  $N_b^2 = 0$ , and  $b$  acts on  $W$  as  $\exp(b) = 1 + N_b$ ; moreover,  $N_b$  determines a monodromy filtration. On the other hand, the Frobenius element of  $G_k$  determines, by applying similar arguments to the arguments discussed above, a filtration on  $W$ , which is called the *weight filtration*, and which, in fact, as can be easily verified, *coincides* with the weight filtration (\*) discussed at the beginning of the present subsection. On the other hand, by Theorem 2.9, if the maximal untangled subgraph of the dual graph of  $X_{\overline{k}}$  is not a tree, then there exists a non-degenerate element  $b \in \pi_1^\ell(s_{X_{\overline{k}}}^{\text{log}})$  whose pro- $\ell$  period matrix is *degenerate*. Thus, we have  $\dim_{\mathbb{Q}_\ell}(W/\text{Ker}(N_b)) = \text{rank}(N_b) = \text{rank}(f_{X_{\overline{k}}}(b)) < \dim_{\mathbb{Q}_\ell}(M_{X_{\overline{k}}}^{\text{top}} \otimes \mathbb{Q}_\ell) = \dim_{\mathbb{Q}_\ell}(W/W_1)$ , which implies that  $\text{Ker}(N_b) \neq W_1$ . In particular, the weight filtration *does not coincide* with the Monodromy filtration defined by  $b$ . Put another way, we have shown that Theorem 2.9 implies that if the maximal untangled subgraph of the dual graph of  $X_{\overline{k}}$  is not a tree, then there exist non-degenerate elements of  $\pi_1^\ell(s_{X_{\overline{k}}}^{\text{log}})$  for which the *Weight Monodromy Conjecture does not hold*. Moreover, we obtain an equivalent form of Theorem 2.9 as follows.

**Corollary 2.11.** *Let  $X$  be a smooth projective hyperbolic curve over a  $p$ -adic local field  $K$ ,  $\overline{K}$  an algebraic closure of  $K$ ,  $R$  the ring of integers of  $K$ ,  $k$  the residue field of  $R$ ,  $\overline{R}^{\text{unr}}$  the integral closure of  $R$  in the maximal unramified extension of  $K$  in  $\overline{K}$ ,  $\overline{k}$  the residue field of  $\overline{R}^{\text{unr}}$ . Suppose that  $X$  admits a stable model  $\mathcal{X}_R$  over  $R$ . Write  $X_k$  for the special fiber of  $\mathcal{X}_R$ ,  $X_{\overline{k}}$  for the geometric special fiber of  $\mathcal{X}_R$ , and  $\Gamma_{X_{\overline{k}}}$  for the dual graph of  $X_{\overline{k}}$ . The geometric special fiber*

$X_{\bar{k}}$  determines a classifying morphism  $\mathrm{Spec} \bar{k} \rightarrow \overline{\mathcal{M}}_g$ , and we shall write  $s_{X_{\bar{k}}}^{\mathrm{log}}$  for the log scheme whose underlying scheme is  $\mathrm{Spec} \bar{k}$ , and whose log structure is the pull-back of the log structure of  $\overline{\mathcal{M}}_g^{\mathrm{log}}$ . Then the Weight Monodromy Conjecture for  $X$  holds for all the non-degenerate elements of  $\pi_1^{\ell}(s_{X_{\bar{k}}}^{\mathrm{log}})$  if and only if the maximal untangled subgraph of  $\Gamma_{X_{\bar{k}}}$  is a tree.

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